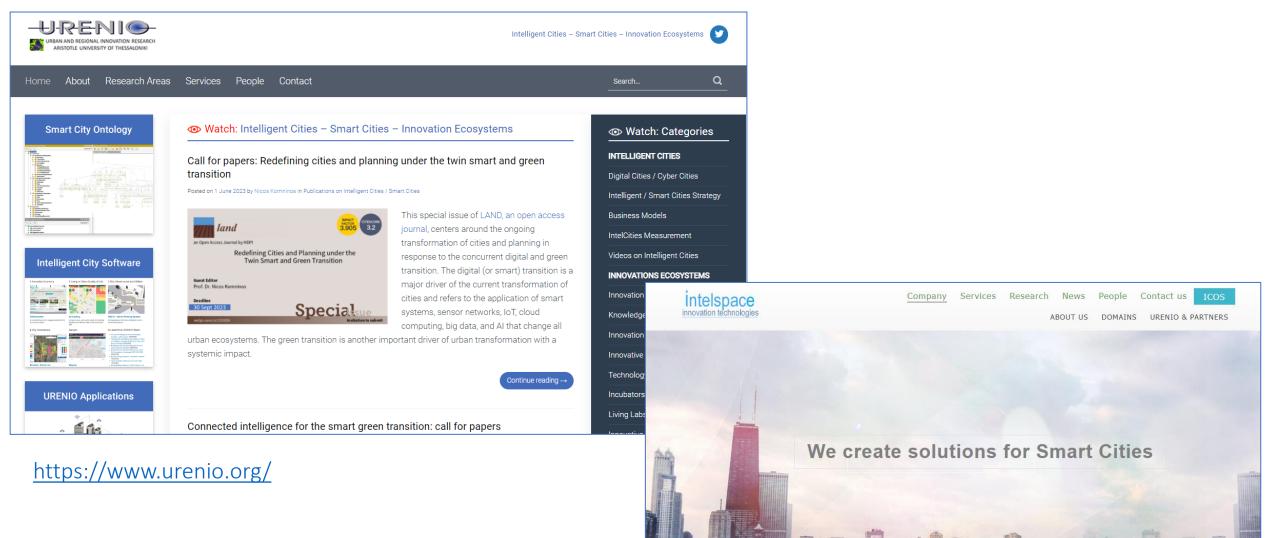
# The smart city paradigm: Models and platforms for transformation and innovation of 21st-century cities

Nicos Komninos

URENIO Research, Aristotle University of Thessaloniki ERSA Summer School 2023. The Digital Future of Smart Regions. Alexandru Ioan Cuza University of Iasi, 3-7 July 2023

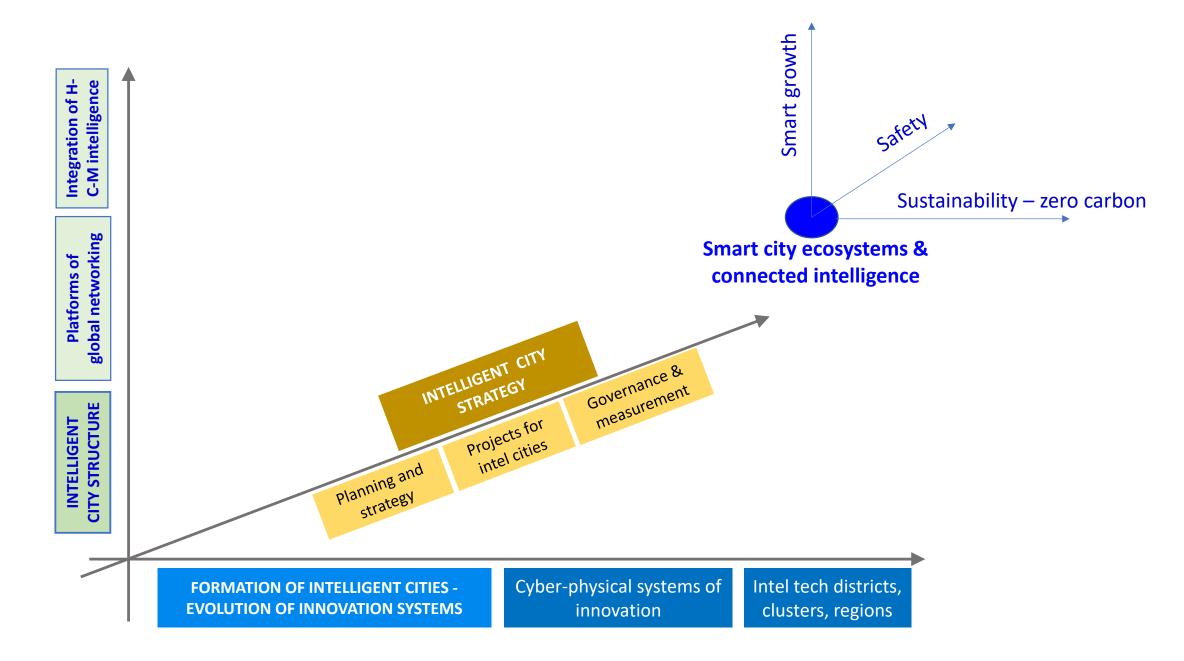


https://www.intelspace.eu/

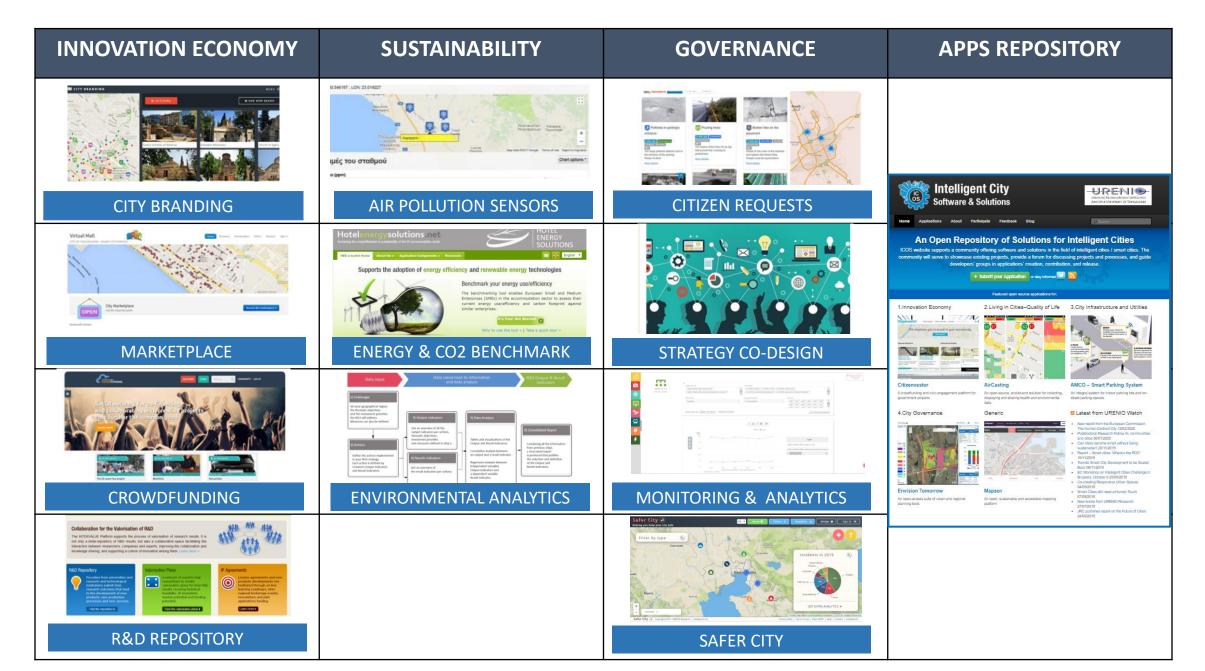
INTELSPACE Innovation Technologies S.A. and URENIO Research of Aristotle University of Thessaloniki form a research group that works together on projects dealing with innovation systems, innovation policy and strategy, and intelligent / smart cities. INTELSPACE was founded in 2005 as spin-off company of URENIO Research with a focus on the design and development of intelligent / smart cities, intelligent districts, cyber-physical systems, and other types of intelligent spaces.

INTELSPACE offers engineering, IT, and consulting services in the field of intelligent / smart cities. The company specializes in the design and development of physical spaces and digital environments sustaining innovation in cities addressing problems of

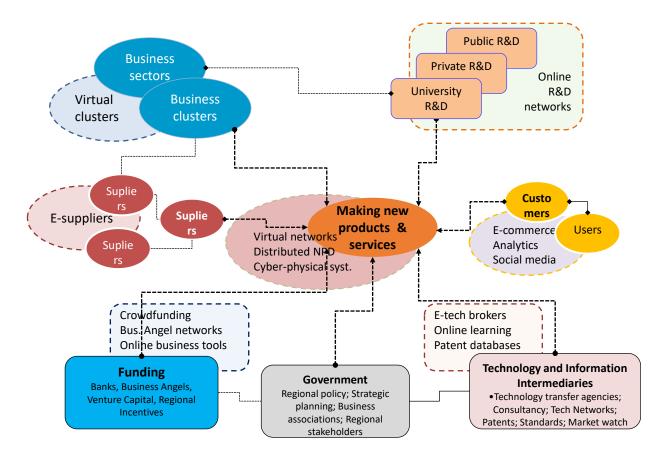
### Research area 1: Intelligent cities / smart cities



### Intelligent/smart cities: Platforms and applications



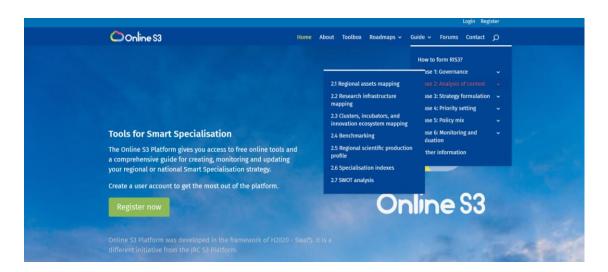
### Research area 2: Systems of innovation in cities and regions



**Evolution of systems of innovation**: technology districts, regional systems of innovation, innovation ecosystems, cyber-physical systems of innovation, platform-based innovation, user-driven innovation, crowdsourcing innovation.

### **Recent research:**

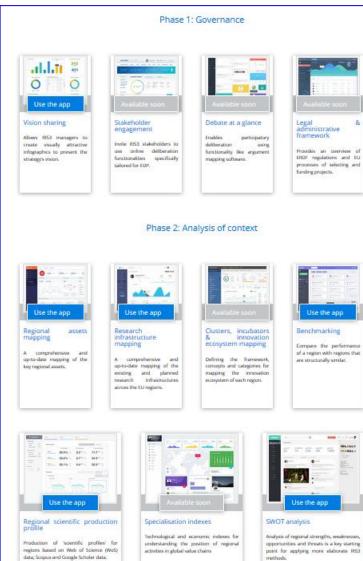
- Platform-based innovation, cyber-physical systems of innovation, transformative innovations of urban and regional ecosystems.
- Research and Innovations Strategies for Smart Specialisation (RIS<sup>3</sup>). Digitally assisted RIS<sup>3</sup>.
   ONLINE S3, a platform of 28 online apps and 4 roadmaps.



### Cyber-physical innovation: Online S3 platform - 28 applications, 5 roadmaps

### Context analysis

### Implementation



#### Strategy design Phase 3: Strategy formulation B-----342 401 -Delphi - Foresight Collaborative vision building Scenario building Tailored online guidelines on the Creates different scenarios to illustrate Delphi is the most emblematic foresig future studies method. The method in necessary additional steps to arrive at a visions of possible future or aspects of shared vision for regional smart possible future. the data collection and analyses faste specialisation strategy. moier. Phase 4: Priority setting -EDP focus groups Extroversion analysis Related variety analysis Supports focus groups of stakeholders and Detects possible industry segments in Calculates the Belated/Unrelated ve business leaders involved in the EDP which regions present increased entropy indexes. It will compare 2process, and the communication of extroversion, in terms of exports, and 5-digit sector shares (%) and conclusions about the opportunities attraction of FDI, or other forms of estimate the entropy index for regions. emerged by the I assi and national regional openness. authorities.

	Phase	5: Policy mix	
Use the app Use the app Determine the same and adjusted on the region.	Action plan co-design a a mel-soard series and particular series a	Available soon Available soon Budgeting Provides a framework for uning different hudgeting budgeting to captare the landing dimension	Available soon build be and a source of the
Available soon Calls consultation Truthe ht53 stakeholders to access projects under 50 operation of proj that are made by regional authority	cath for parmes	n tool that teases out Data regional technological grain data.	Available soon
	Phase 6: Mor	nitoring & evaluation	

This talk is based on the book "Smart Cities and Connected Intelligence", Routledge 2020.

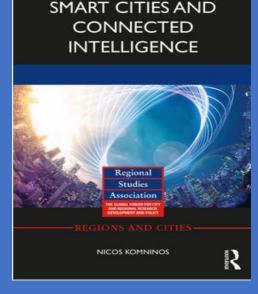
Main arguments:

- The smart city is the dominant planning and development **paradigm** for cities in the 21st century
- Smart city ecosystems are the fundamental entities of smart cities, where challenges and transformations occur
- Platform-based models organise the transformation and innovation of smart ecosystems

### Contents

- I. The smart city paradigm
- II. Digital platforms, models and smart ecosystems
- III. Economy and governance: Externality platforms & disruptive innovation
- IV. Environment and sustainability: Awareness platforms & eco innovation

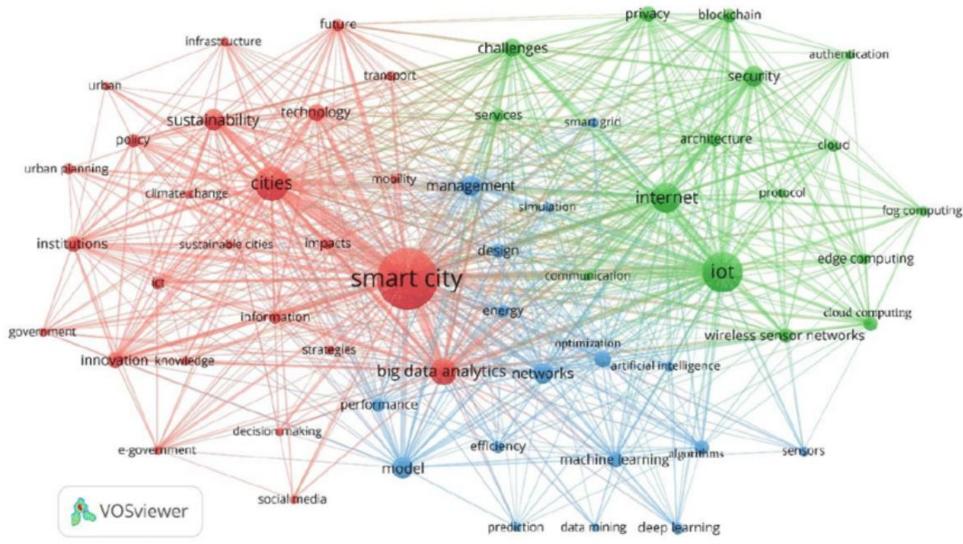
V. Safety and security: Engagement platforms & social innovation



#### Introduction PART I Grand challenges, smart everything and smart citie 1 Grand challenges of the 21st century and the smart everything paradigm 2 Smart cities, innovation and problem-solving over cyber-physical spaces PART I Platforms, smart ecosystems and connected intelligence 3 Smart city ecosystems triggering connected intelligenc 4 The effectiveness of smart city platforms and applications PART III Smart growth: externality platforms and disruptive innovation 5 Smart growth: connecting innovation and digital worlds 6 Platforms for smart growth in urban and regional policy PART IV Safety and security: engagement platforms and social innovation 7 Social innovation in smart city ecosystems 8 Engagement platforms, social innovation and safer cities PART V Sustainability: awareness platforms and eco-innovation

I. The smart city paradigm

# *Interdisciplinarity:* The smart city paradigm brings together urban planning, engineering, data science.



Three major clusters are identified:

- Cities, the smart city concept and understanding,
- (2) big data analytics, and
  - (3) the technological aspects, especially in relation to
     Internet of Things

Three Decades of Research on Smart Cities: Mapping Knowledge Structure and Trends

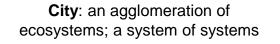
5722 articles indexed in the Web of Science since 1991 https://www.mdpi.com/2071-1050/13/13/7140

by 🐧 Ayyoob Sharifi <sup>1,\*</sup> 🖂 💿, 🧙 Zaheer Allam <sup>2</sup> 🖂 💿, 🏩 Bakhtiar Feizizadeh <sup>3</sup> 🖂 💿 and 🔃 Hessam Ghamari <sup>4,\*</sup> 🖂 💿

### Concept

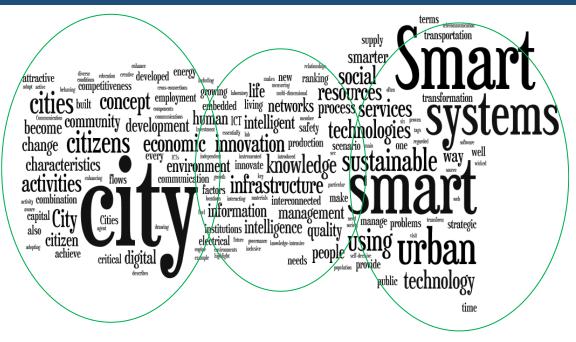
Smart city

Intelligent city



**Smart city**: city of data, IoT, automation, algorithmic solutions

**Intelligent city**: city of cyber-physical innovation systems, high capabilities





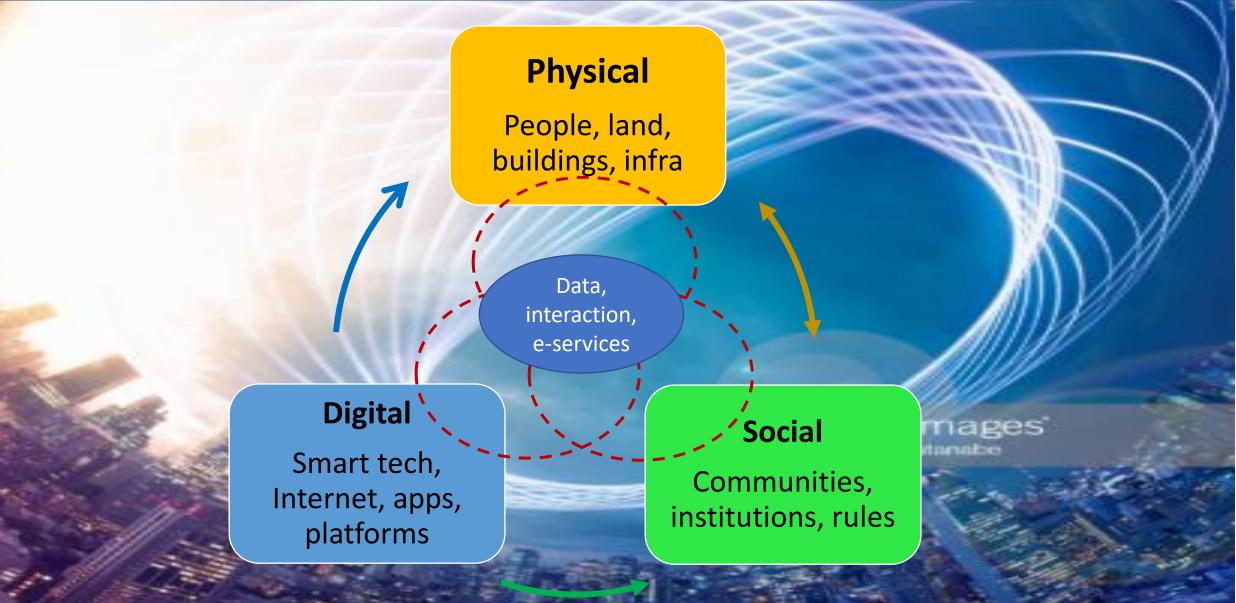


Knowledge and innovation level

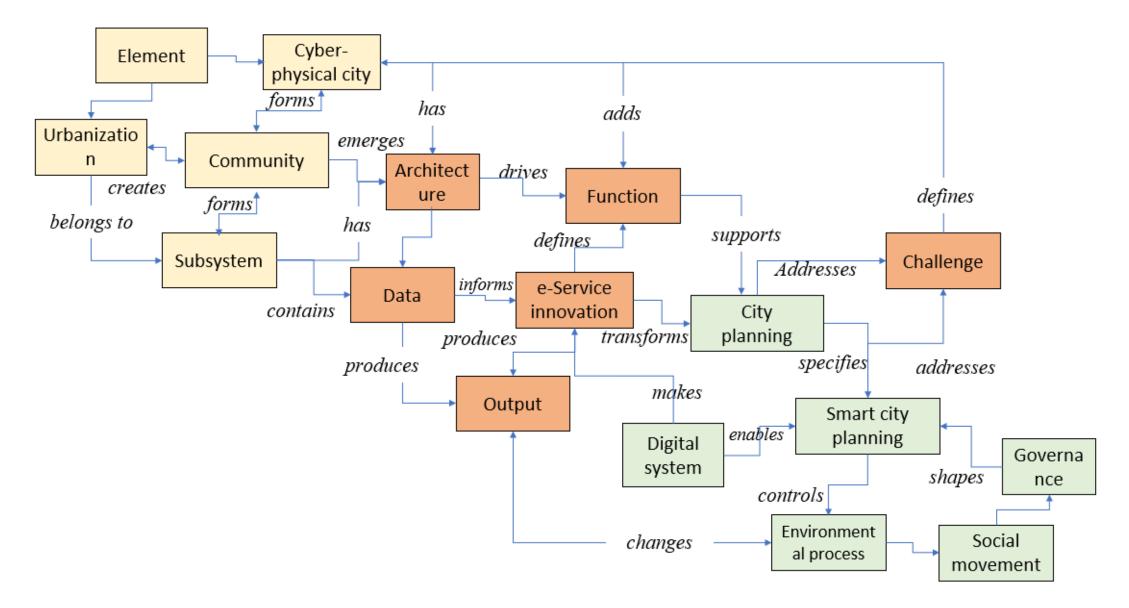


People, activities, infrastructure level

## *Structure*: Smart cities and their ecosystems are made of physical, social, and digital entities (not digital only)



# Structure: Three blocks in the smart city ontology, community, data & services, planning



# Structure: A system-of-systems composed of smart ecosystems, which follow their own trajectories of change

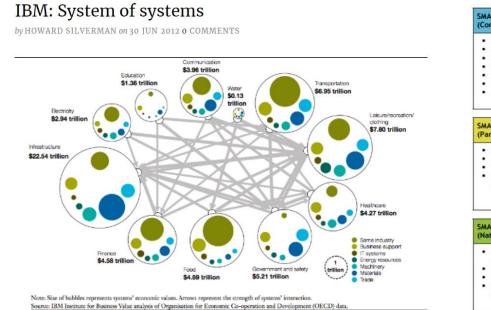


Figure 1: We live and work within a complex, dynamic and interconnected US\$54 trillion system of systems

**IBM Institute of Business Value** 

(2010): IBM system of systems





Giffinger, R., & Gudrun, H. (2010). Smart cities ranking: an effective instrument for the positioning of the cities?. ACE: architecture, city and environment, 4(12), 7-26.

### Frost & Sullivan (2020). Smart Cities: F&S value proposition

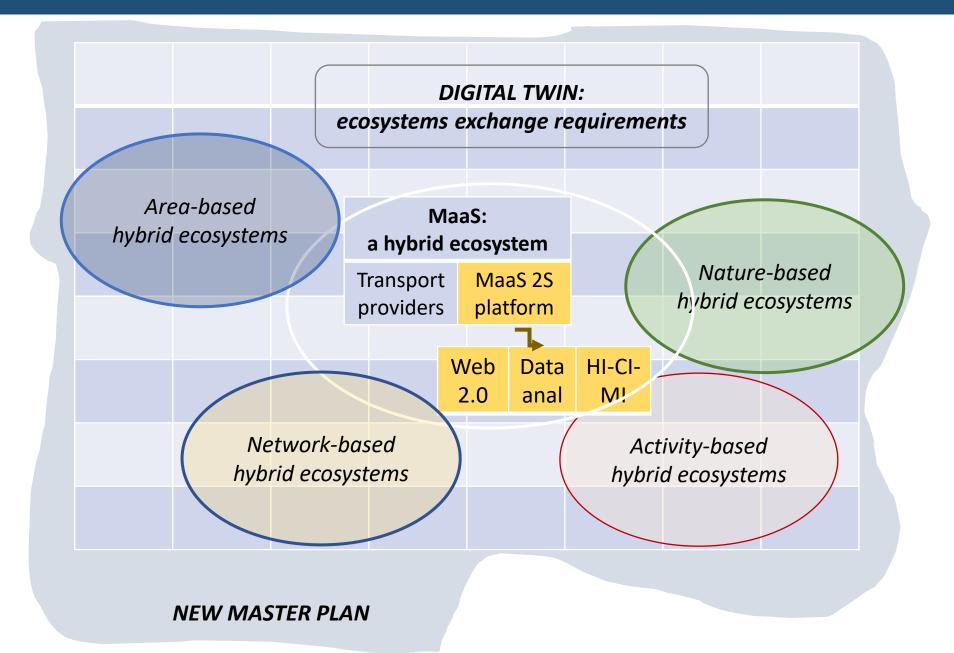


Activity-based ecosystems: Manufacturing, Education, Health, Tourism, Culture, Services, Government

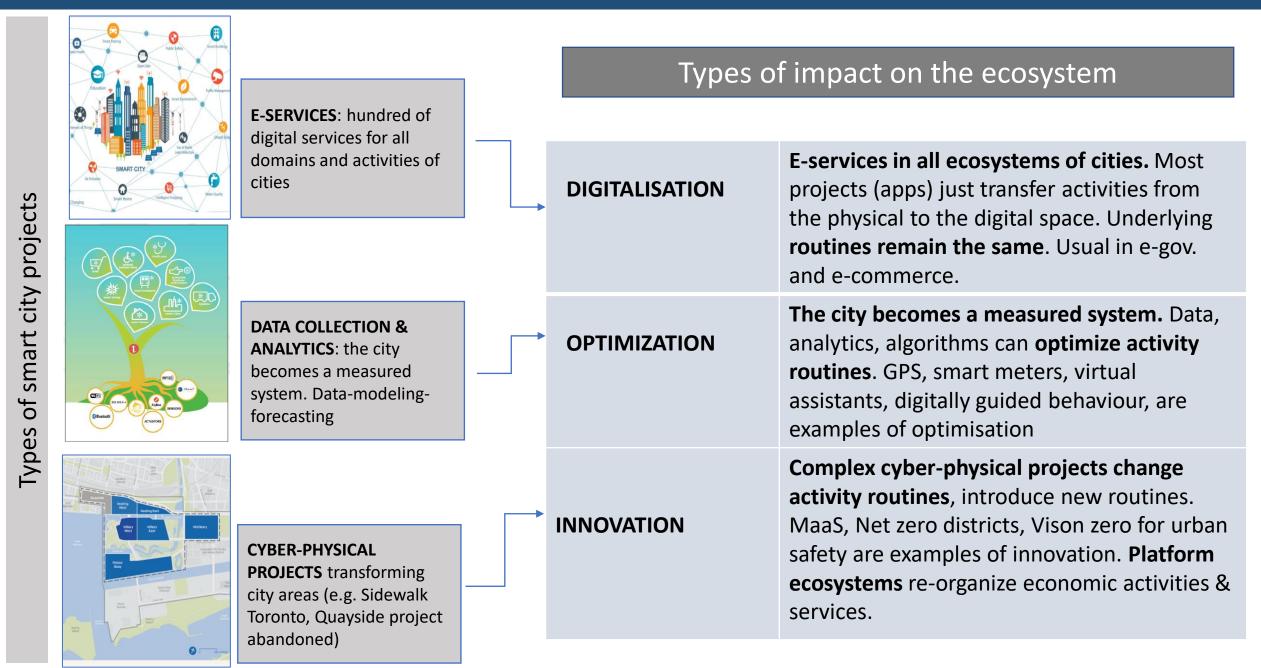
Research and Innovation Ecosystem



### Planning: Master planning to integrate smart ecosystems



### Planning: Smart city project and types of impact



### Operation: Smart city ecosystems generate connected intelligence

### Human intelligence

-Knowledge and decision-making - System 1 (fast thinking) -System 2 (slow thinking) -Intelligence and innovation

#### Machine intelligence

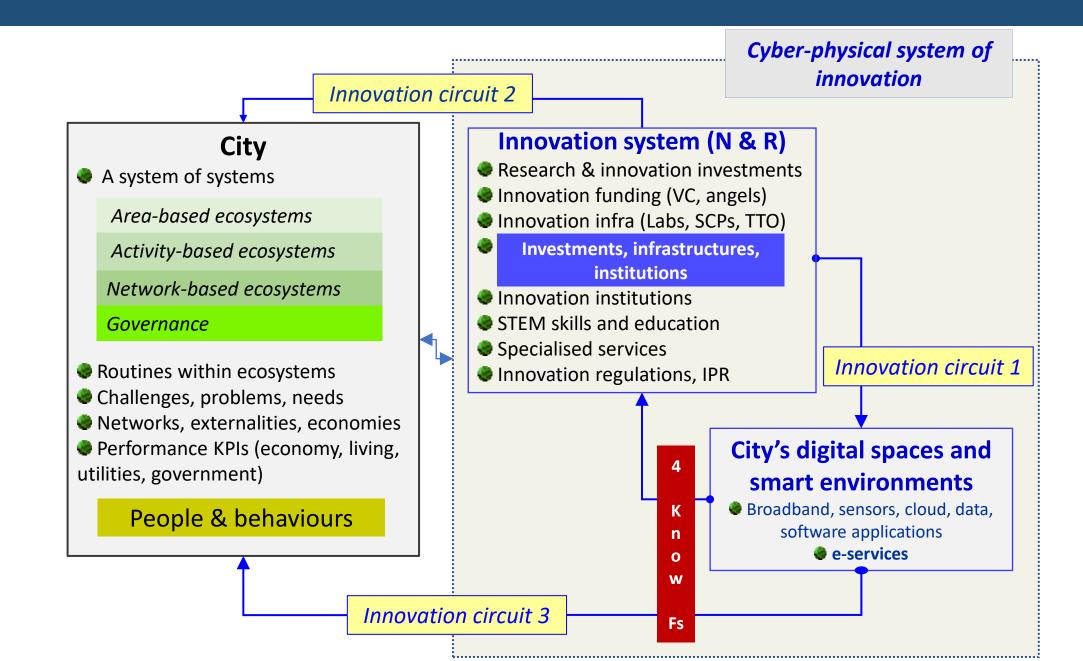
L1. A few functions of intelligence (memory, alert, communication) L2. ANN, narrow AI, GTP-4 L3. Artificial General Intelligence, strong AI, future AI



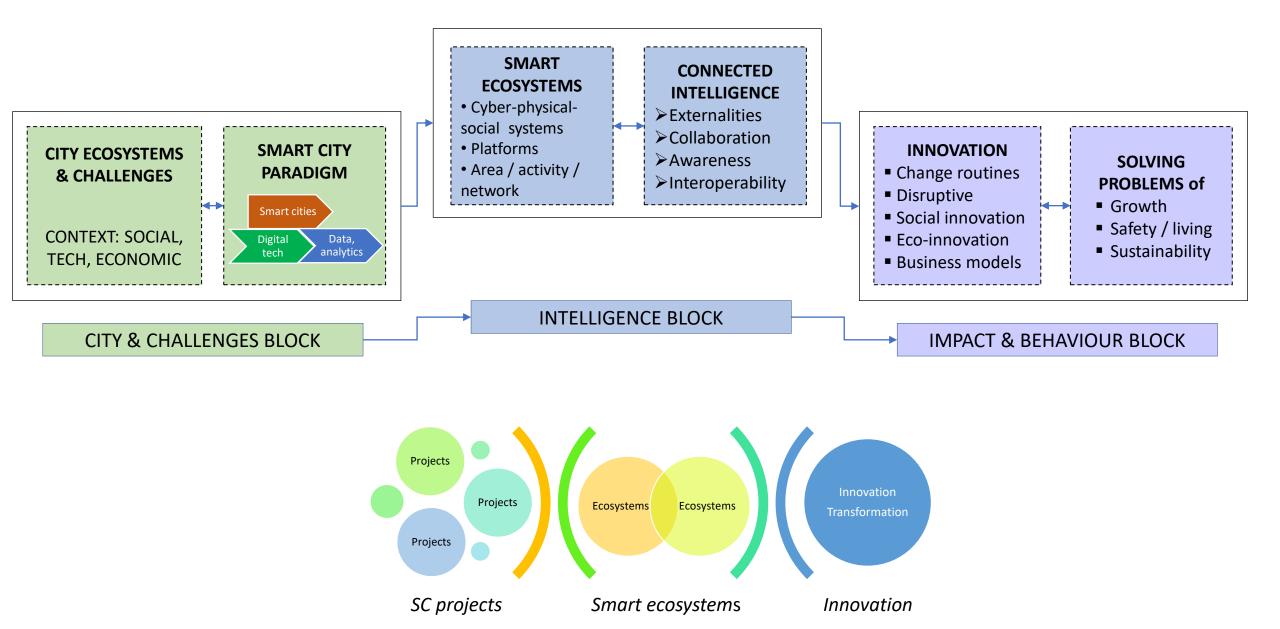
### **Collective intelligence**

-Community/ecosystem basis -Specialization-based capabilities -Design thinking /combinatory lg -Power-based decision making

### *Operation: Optimisation & innovation of ecosystem activities*

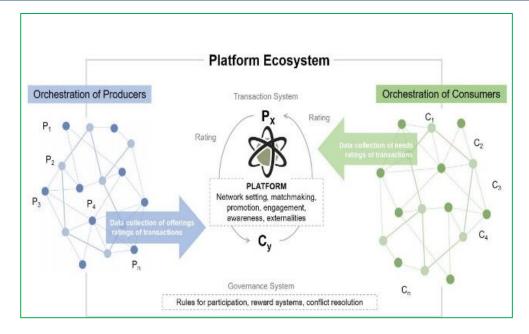


### Formation – Structure – Planning - Operation: The entire cycle



### II. Digital platforms, models, and smart ecosystems

### Digital platforms and platformization of cities



Urban Platforms and the Future City

Knowledge and Everyday Life

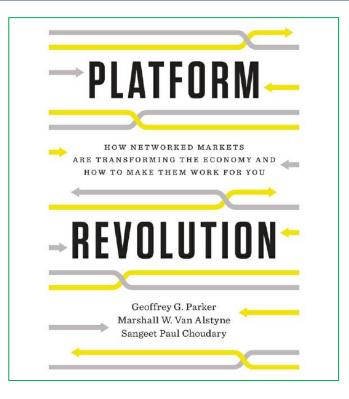
Transformations in Infrastructure, Governance

died by Mile Hodson, Julio Kasnire, Andrew ArMankin, John G., Sahlin and Kevin Ward **Digital platforms** enable city ecosystems to evolve into **platform-based ecosystems** 

- DP are technological building blocks (that can be technologies, products, or e-services) that act as a foundation on top of which a group of interdependent actors (called complementors), develop inter-related products, technologies and services
- DP are collaborative business models that allow multiple participants (producers, consumers) to connect, interact with each other, create and exchange value, create ecosystems

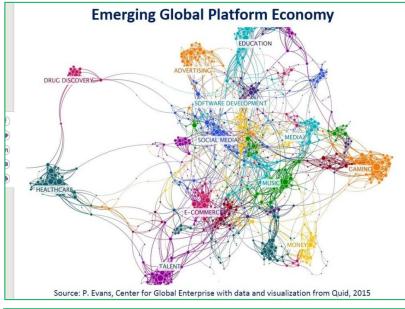
Platform Urbanis	m	PLATFO	THE R <b>M Socie</b>	TΥ	Catastria, Campus Di	e Knowledge-Based	Eduardio Conta <sup>1</sup> 1933: Foderal University of Sonta 19 (Johannuthur or Janit of 1933)	Series Statistics City Statist
Search terms	2017	2018	2019	2020	2021	2022	er. a Cataton, An Madhe 20234-bits devacement of inclused- and legal circumstaryon. ps for their cities to be-	a weise of the matter shy discovers, which is the construct of economic development into cities' and to support memorymemory beneficiary and a memorymemory the platimum is a prime example of such an environment. These majorizations has these of the "match cities of the 2020s because a strategic level and an experiment by existing a flation of the 2020s because a strategic level and an experiment by existing a flation of the 2020s because a strategic level and an experiment by existing and the 2020s because the 2020s because a strategic level and an experiment of the 2020s because a strategic level and an existence of experiments and existing and a strategic level and an existence of experiment and existing and a strategic level and an existence of experiments and existing and a strategic level and an existence of experiments and existing and a strategic level and an existence of experiments and existing and a strategic level and an existence of existing and and existing and a strategic level and an existence of existing and an existence of existing and existence of existing and a strategic level and and existing and a strategic level and an existence of existing and existing and a strategic level and an existence of existing and an existence of existing and existence of existing and a strategic level and existing and a strategic level and an existence of existing and an existence of existing and a strategic level and an existing and a strategic level and an existence of existing and a strategic level and an existence of existing and an existence of existing and a strategic level and an existing and and a strategic level and an existence of existing and a strategic level and an existing and and a strategic level and an existence of existing and an existing and an existing and an existing and an existing and a strategic level and an existing and an existin
"Platform city"	43	47	54	74	64	58	much for addressing the , as more and more plat- efform softwariers. These me with the assistance	for platformization by strengthening social inclusion, taming the growth machine, and tensions between pro-growth and anti-growth coalitions. <b>Keywards</b> : smart city, athan development, local economic development, city, citizen, pa
"Platform cities"	8	8	9	23	32	31	pt. With these devolop- arburtions support local tative review of journal entiwas analyzed. The emattice and discoutive	innovation; platform; platformization; Finland
"City as a Platform"	59	96	95	135	160	91	ing with disclosing the fs collection losses ledge	Globalization in urban life manifests in various ways, one of them being gl between cities for investments, talent, and tourists. Such competition started to late 1946 due to the ilberalization of world trade. A fee decides late, this imp proceedings over to be desarticable for in most of the industration clies in th
"Platform urbanism"	4	12	39	174	295	304	promater, knowledge- stion, smart city; urban	as they started to lose their manufacturing jobs as companies—in the same of and profit maximization—relected their production to low-cost countries. Duri decades the organizational decomposition of production and innovation process such accompanying trends as servitization, digitalization, creativization, and finan-
Total	114	163	197	406	551	484	ternet use, the rapid , environmental, and	recommy drastically alored the appearance of the global economy [1–4]. At the local level rapid changes in the economic system and the intensiti competition topics a challenge revolving around urbane economic retrieval. As intre to be entitical for economic development, one governmente's comprehensible respon- ations to both the intensition of publicity of local firms and urban innovation militase
"Platformization" Source: Google Scholar, 2022-1	137	336	670	1100	1860	2170	charges that dimentip in focusing on coline in the local or urban. In contrasting or urban. In contrasting provertion promethic generation ogies, location-based —increases the com- fit real possibilities of	bed firms and attracting business and laber from duration. To set if it is comparing the set of

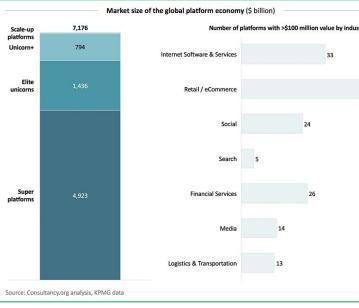
### Platform revolution

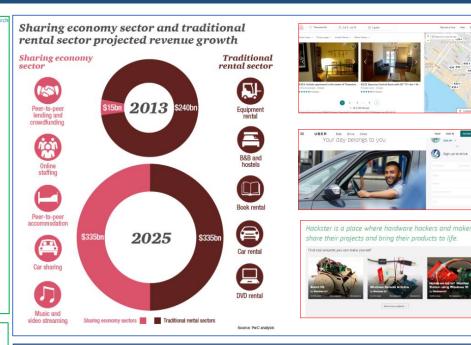


**Platform Revolution**: A guide to one of the most significant economic and social developments of our time, the rise of the platform as a business and organizational model.

(Parker, G. G., Van Alstyne, M. W., & Choudary, S. P., 2016)







#### Industries under transformation

- Transports, mobility
- Financial services
- Freelance professions
- Tourism services,
- Hospitality

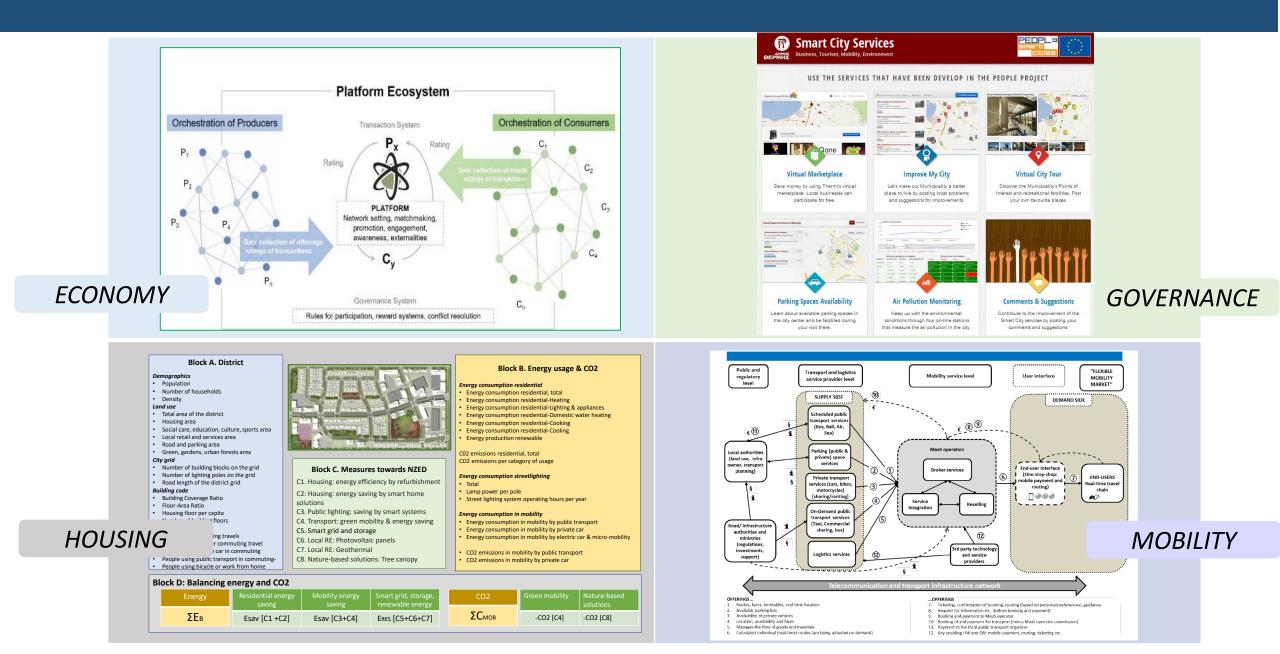
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Insurances

•

- Health services
- Trade, energy, information services

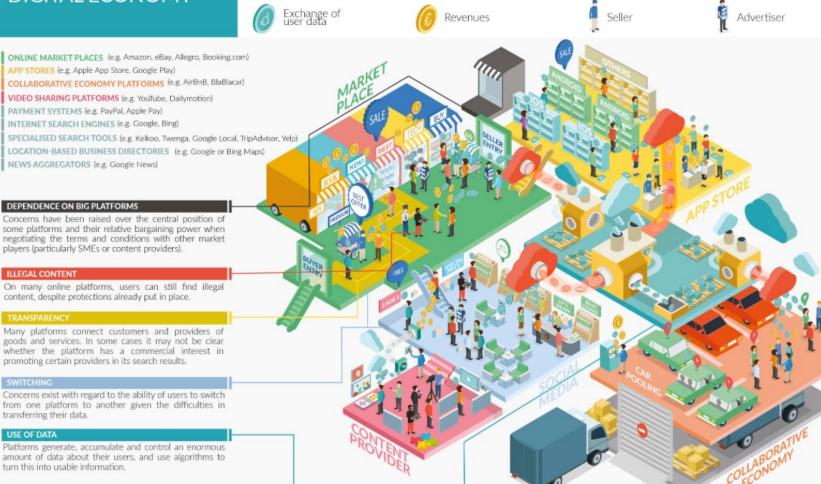
### Platform-based are the new models of smart city ecosystems



### Platforms transform all city ecosystems Platforms vs Applications: dwarfs standing on shoulders of giants & agglomeration

#### ONLINE PLATFORMS: AT THE HEART OF THE DIGITAL ECONOMY

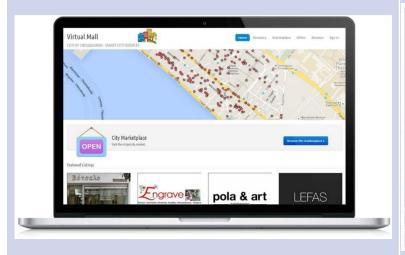
Online platforms bring many benefits to the 315 million Europeans who use the Internet every day. They allow market participants to exploit the advantages of digitisation and e-commerce. They have also changed the manner in which films, music and other creative content is distributed.



Source: https://ec.europa.eu/information\_society/newsroom/image/document/2016-23/platforms\_infographic\_16081.jpg

### Types of platforms: I. Connecting producer and consumer sides (2S)

#### MARKETPLACES



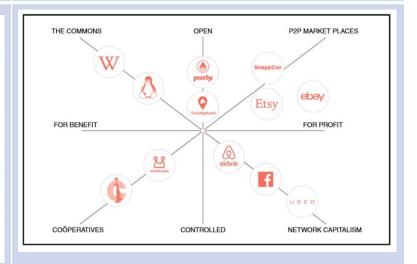
Every commercial business in a city can create its own virtual store. The marketplace allows customers to have access to a variety of stores that are on the platform Online platform and hosting facilities for short-term accommodation rental or lease. Airbnb does not own any real estate. It's a platform that takes a fee for every reservation.

### Rise of the sharing economy

- Business development platforms
- Business-to-Business operation
- Demand-driven P2P production

#### HOSPITALITY

#### SHARING ECONOMY



### *Types of platforms: II. Connecting actors and crowds*

#### GOVERNANCE

#### SOCIAL RESPONSIBILITY

#### SOCIAL INNOVATION



жасные последстви



Submitted one month ago one year ago **9**0 **1**0 Two large potholes obstruct cars in the Όλη η Μιαούλη και η περιοχή γύρω (Δελφων προς Ιπποκρατειο) ειναι entrance of the parking. Please fix νεμάτη σκουπίδια στους δοόμους και them



### Participatory governance:

**00** 

- Citizens report problems, propose solutions, and participate in city administration.
- Extracting information from usage data for better management.

A complex system for zero fatal traffic accidents, which combines

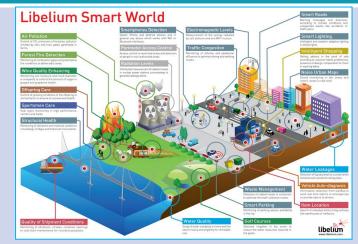
- High-risk network mapping
- Citizen participation
- Network redesign
- **Digital technology**
- Monitoring and evaluation

Social innovation and user participation

- Social innovation platforms
- Non-economic incentives for participation
- Forming a common vision of ۲ strategies
- Participatory design of policies and solutions

### Types of platforms: II. Connecting objects and infrastructure

#### **SENSOR NETWORKS**



## Sensor networks, real-time notification

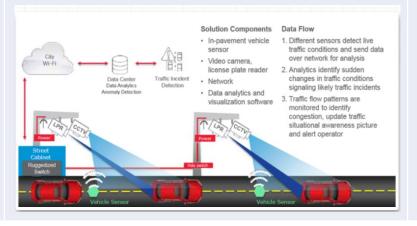
- Behaviour change / optimization
- Improvement of governance with a focus on the environment, pollution, energy saving, CO2 emissions, climate change

#### POLLUTION



Santander, Spain's sensors measure everything from the amount of trash in containers, to the number of parkir spaces available, to the size of crowds on the sidewalks. *Flickr/FreeBird* 

- Prediction of pollution
- Parking management



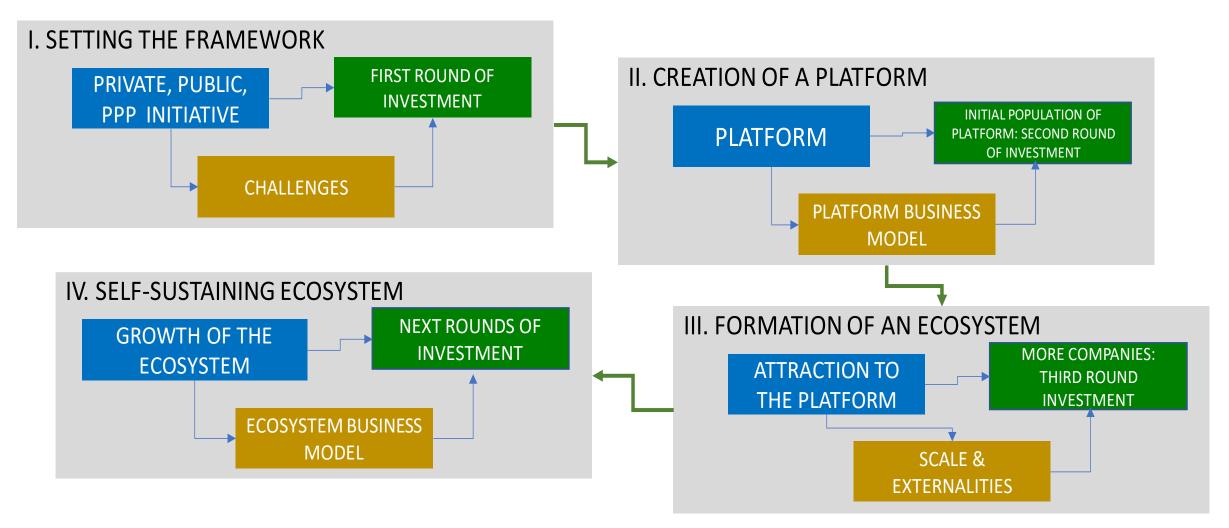
#### **CITY INFRASTRUCTURE**



Water sensor and meter network.

- In cities, the amount of water lost due to leaks in the pipelines ranges from 15% -50% of water consumption.
- Pressure and sound sensors can alert and identify the point of leakage.

### From platforms to platform-ecosystems

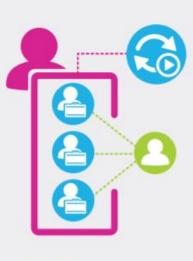


- In a platform-ecosystem, complementors offer products or services.
- Complementors use resources and services provided by the platform.
- Customers receive services through payment or by providing data and feedback.

### Setting a platform ecosystem

#### **ECOSYSTEM TYPES**





#### Aggregator

- An Ecosystem driver brings together services from multiple Service contributors
- The aggregator owns the customer relationship
- Network is selective

Example: The SMILE project in Vienna is aggregating services from a number of actors into a single integrated mobility platform

#### Marketplace

- A Marketplace facilitator runs a marketplace where Service contributors offer services
- The customer relationship is owned by the individual Service contributors
- Network is open and actors joint/ leave frequently

Example: In Milton Keynes the open data approach enables anyone to access large amounts of data from multiple kinds of networks including social media.



End-consumer

#### Leading roles



#### Marketplace facilitator Operates a marketplace where Service contributors can offer services



#### Ecosystem driver

Brings together services from multiple contributors with own offerings to the end customer

#### **Contributing roles**



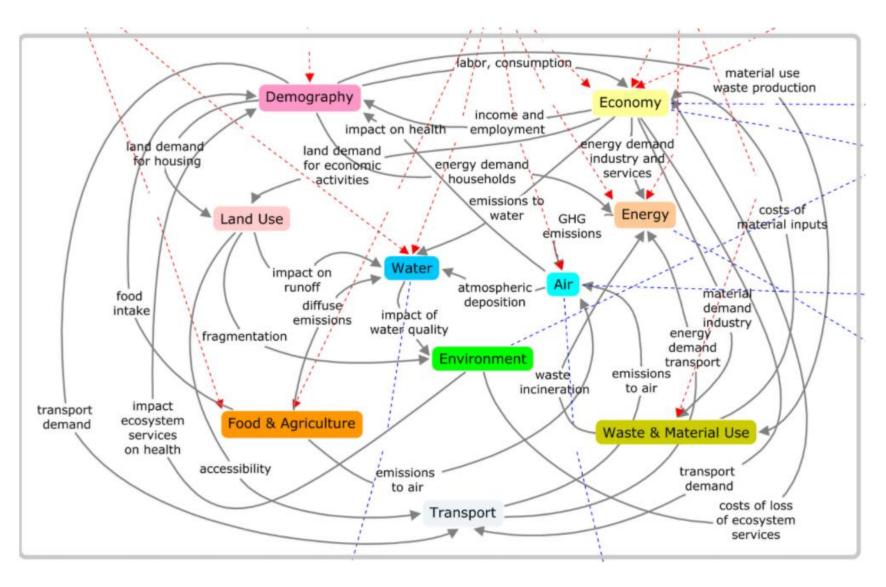
Service contributor Offers own services in a marketplace or an aggregator ecosystem

#### Platform enabler

Provides technical solutions (horizontal and vertical) that enable the ecosystem



### Dynamic interdependencies and emergent behaviour in the ecosystem



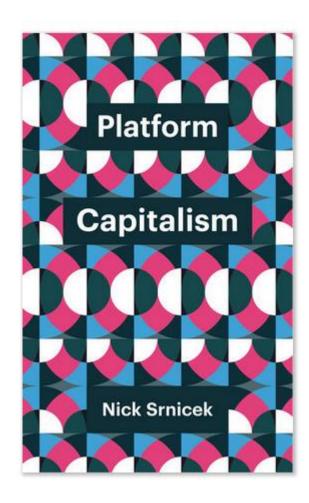
#### The Unique Value of Crowdfunding Is Not Money — It's Community

by Ethan Mollick

April 21, 2016



III. Economy and governance: Externality platforms & disruptive innovation



What unites Google and Facebook, Apple and Microsoft, Siemens and GE, Uber and Airbnb?

Across a wide range of sectors, these firms are transforming themselves into platforms: businesses that provide the hardware and software foundation for others to operate on.

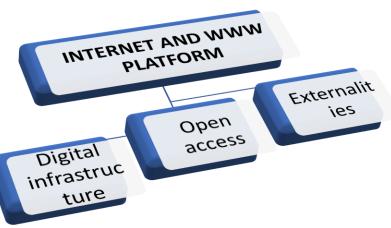
This transformation signals a major shift in how capitalist firms operate and how they interact with the rest of the economy: the emergence of platform capitalism.

Srnicek, N. (2016). Platform capitalism.

### Disruptive innovation over digital platforms

### NETWORKED BUSINESS DEVELOPMENT

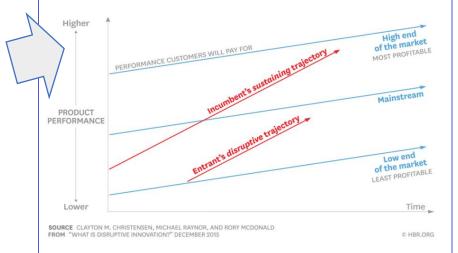
- Platform-based business models
- Business over business



- Complementors (operation over the platform) manage their own value chain
- Consumers become co-creators of value.
- Demand-driven production
- Dominant model in transport, hospitality, insurance, real-estate
  Disrupting one industry sector after the other

#### **DISRUPTIVE INNOVATION**

"Disruption" describes a process whereby a smaller company with fewer resources is able to successfully challenge established incumbent businesses (Christensen et al. 2015)

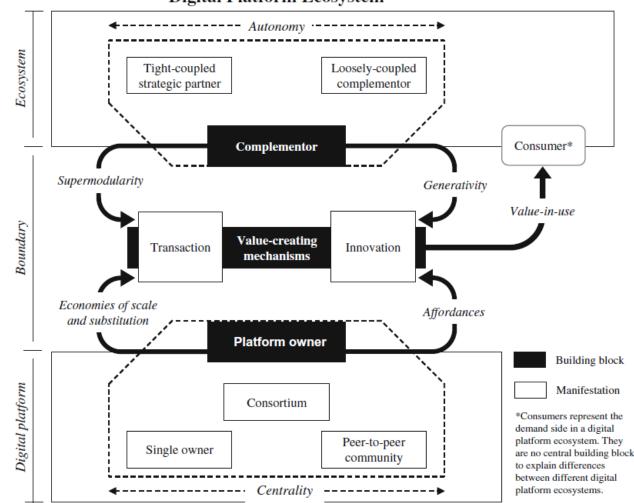


 Internet platforms enable the disruptive trajectory by providing knowledge, sharing, and collaboration externalities

### Platforms, gig economy, 2-sided economy

### The gig economy

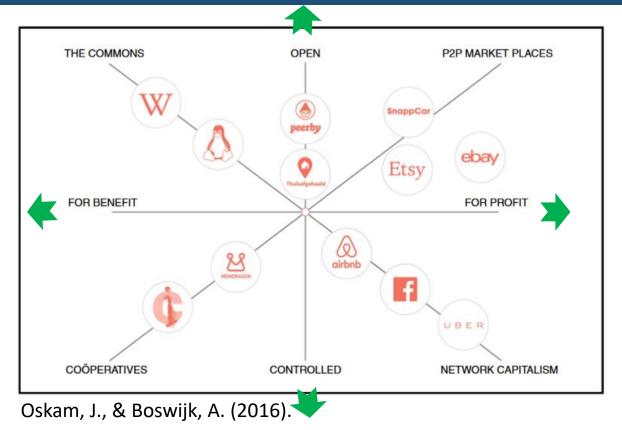
- Gig work
- Logged work model
- Work organised in separate logs
- Standardisation of tasks
- Free-lance workers, unregulated labour
- Demand driven work
- Notification of available gig
- Digital transactions
- Data and assessment
- Surveillance



**Digital Platform Ecosystem** 

Source; Hein, A., Schreieck, M., Riasanow, T., Setzke, D. S., Wiesche, M., Böhm, M., & Krcmar, H. (2019). Digital platform ecosystems. *Electronic Markets*, 1-12.

### Externality platforms



Typology axis	Typology axis
<ul> <li>Supporting SMEs to</li> </ul>	•Public
develop innovative products	vs.
vs.	•Private / corporate
<ul> <li>Supporting authorities to</li> </ul>	
develop innovation policies	

## Internet Platforms in urban & regional smart growth policy: key components

#### Connectivity

- Internet
- New Generation
   Networks
- Sensor networks
- Internet of Things

### **E-services**

- R&D and technology
- Supply chain
- Market access
- Funding
- Strategy design

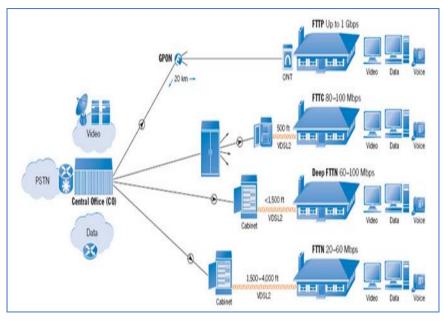
#### **Business models**

- Public funding
- P2P
- Reselling
- Data Monetization
- Crowdfunding

### Integrated environments / cyber-physical spaces

- Smart districts
- Smart clusters
- Smart hubs

### Burgundy: Infrastructure as a platform



### **Digital services to citizens**

- Culture and hospitality
- Vocational training over the Internet and provide personalised e-training
- Health, Telemedicine and the Personal Medical File.
- E-services in these fields are partly or fully paid from taxation.
- •The business models and implementation rely heavily on public action and funding.

### Very high-speed broadband, FTTH

- Optical fiber in 174 communities by 2020. 250,000 households will be served. 75% of population by 2023.
- Public investment 850 million Euro
- Principle of citizens' equality
- 10% increase in broadband contributes to additional 1.21% GDP
  New jobs and businesses will be created, directly linked to NGN.

#### Governance

- Vertical e-services are organized by competent department. E-services as component of the respective policy.
- Horizontal e-services and platforms will provide support, G-cloud, monitoring and assessment to all.
- Key principle: open platforms,
   Service Oriented Architecture, re-use of applications

### **Digital entrepreneurial services**

- Offer digital services to enterprises through a "digital portfolio" of applications and solutions.
- In case that digital tools and services are not available or provided under unsatisfactory licence, operators would receive support to develop such tools and enrich the "digital portfolio".

### **Platform logic**

- High broadband connectivity to all. The network as <u>platform</u> for any kind of activity demanding high bandwidth
- Entrepreneurial services through a the "digital portfolio". An open repository of <u>commons</u> to be used by companies.
- <u>Platform for specialised services</u>. Mass customisation in services.

### Regions of Greece: platform-based services for growth

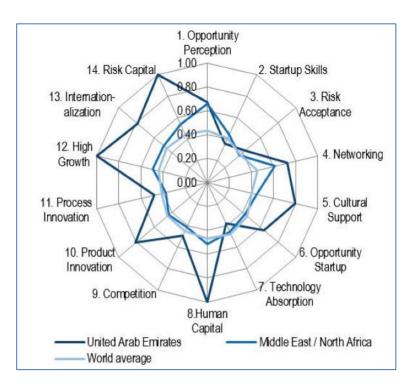
National and 13 regional RIS3 1,240 million Euro investments e-Government e-Administration eEmegency / Risk management eHealth / Tele-care eLearning Smart cities, ITS, Smart energy	<ul> <li>E-services for businesses</li> <li>(generic for all industry sectors)</li> <li>eBusiness</li> <li>eCommerce</li> <li>eLogistics / Supply chain</li> <li>Digital marketing</li> <li>Usual ICT (ERP, CRM, etc.)</li> </ul>	<ul> <li>E-services for tourism</li> <li>Virtual Points of Interest / AR</li> <li>Destination management</li> <li>eCulture</li> <li>mTourism</li> </ul>
<b>Typology of e-services: Platform logic</b> • <i>Platform based e-services</i> provided or marketplaces or open government;	Two business models (1) a state-aid business model, grants are offered for the development of	
• <i>E-services based on resource pooling</i> and utilities management (energy savin Rely on applications or CMSs that can b stakeholders and end users (businesses	services of the type 3 of e-services (2) a platform-based business model using cloud computing for the type 1 and 2 e-services. Software applications will be offered by a	
• <i>E-services specific to companies</i> , with replication, adapted to companies individually a service of the serv	central hub together with support to customize and learn using them.	

## UAE: Intelligent University Campus as a platform

#### University of Al Ain, UAE

# An innovation ecosystem without borders by

- (a) establishing global partnerships,
- (b) using digital tools for innovation and business development
- (c) adopting an open and user-driven approach to innovation.



#### Centers and technology infrastructure of the Park

- 1. Business accelerator and incubator for knowledge-based businesses
- 2. Innovation Pavilion: Services for innovative new businesses
- 3. Open R&D infrastructure and services
- 4. Education and training programmes

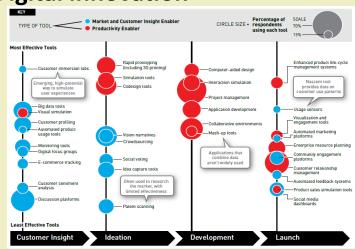
#### Innovation pipeline services to companies

- 5. Value added services on New Product Development
- 6. Ideation and proof of concept
- 7. Prototype development and testing
- 8. Commercialization of technology and academic IPR
- 9. Market creation and USAU SP promotion

#### **Digital innovation environment**

- 10. Broadband, cloud, and data center
- 11. Online services for technology development and innovation
- 12. The community of the Park: Building identity and trust

#### **Digital innovation**

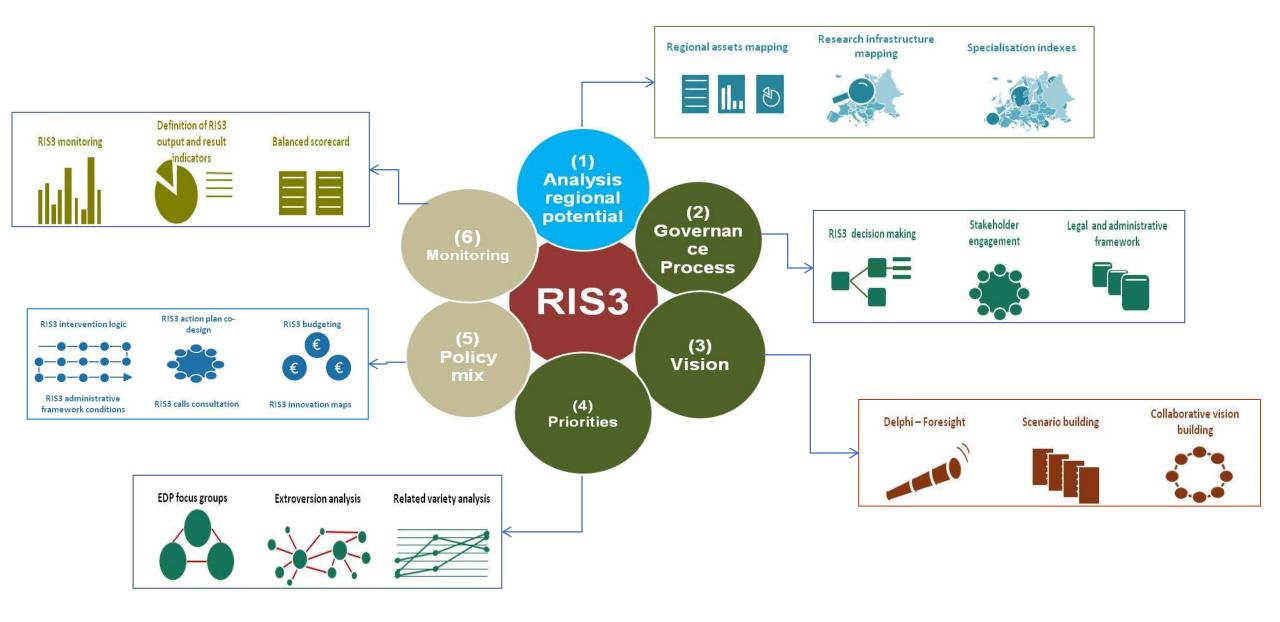


#### **Platform logic**

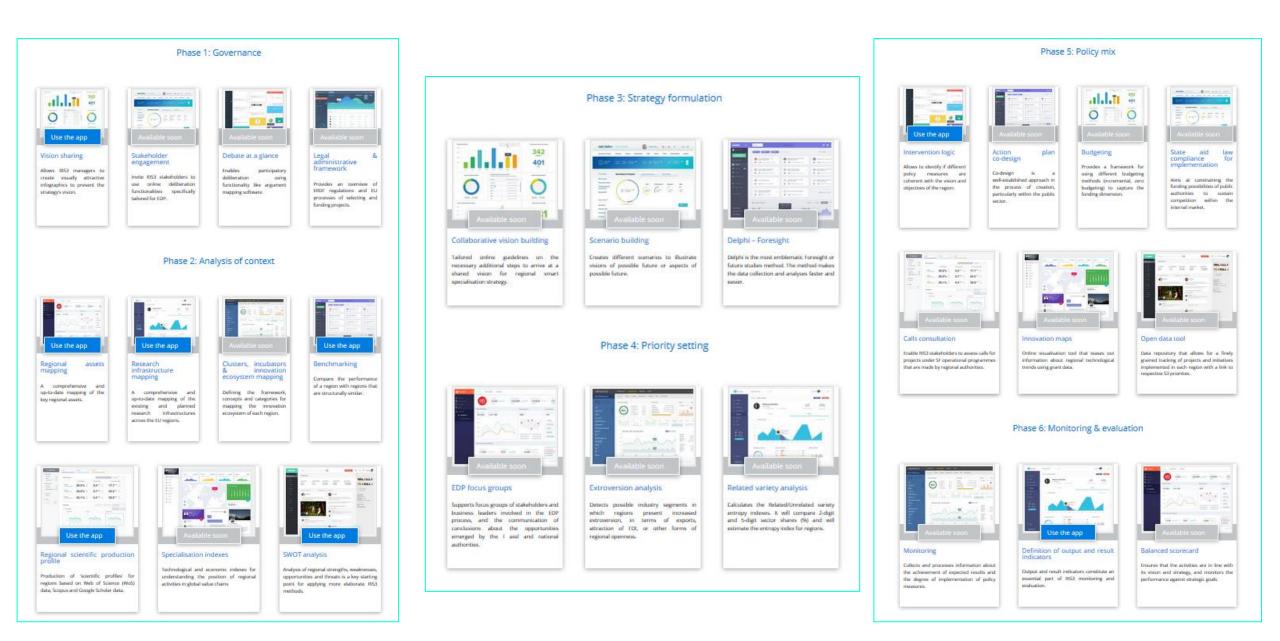
- The entire system becomes a platform
- Collaboration and <u>integration</u> of the different centres, infrastructures, and digital spaces
- The human <u>community</u> of the area becomes driver for innovation & growth
  A series of <u>web platforms</u> to strengthen

its knowledge functions

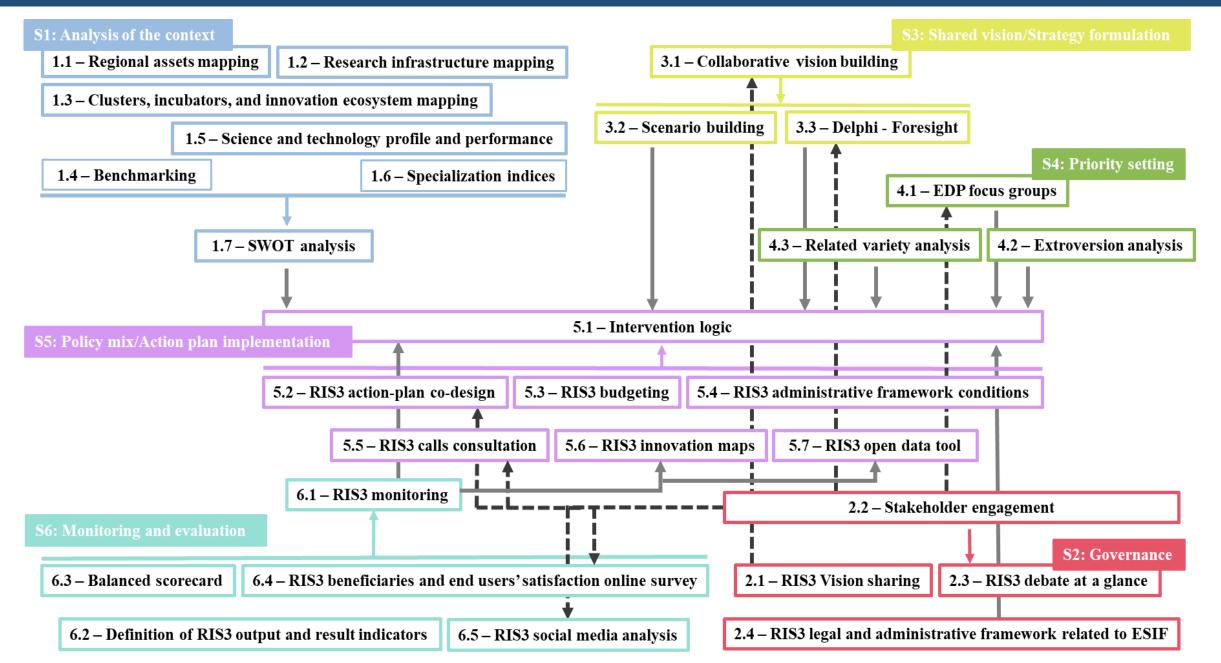
## Online S3 platform: Governance of innovation



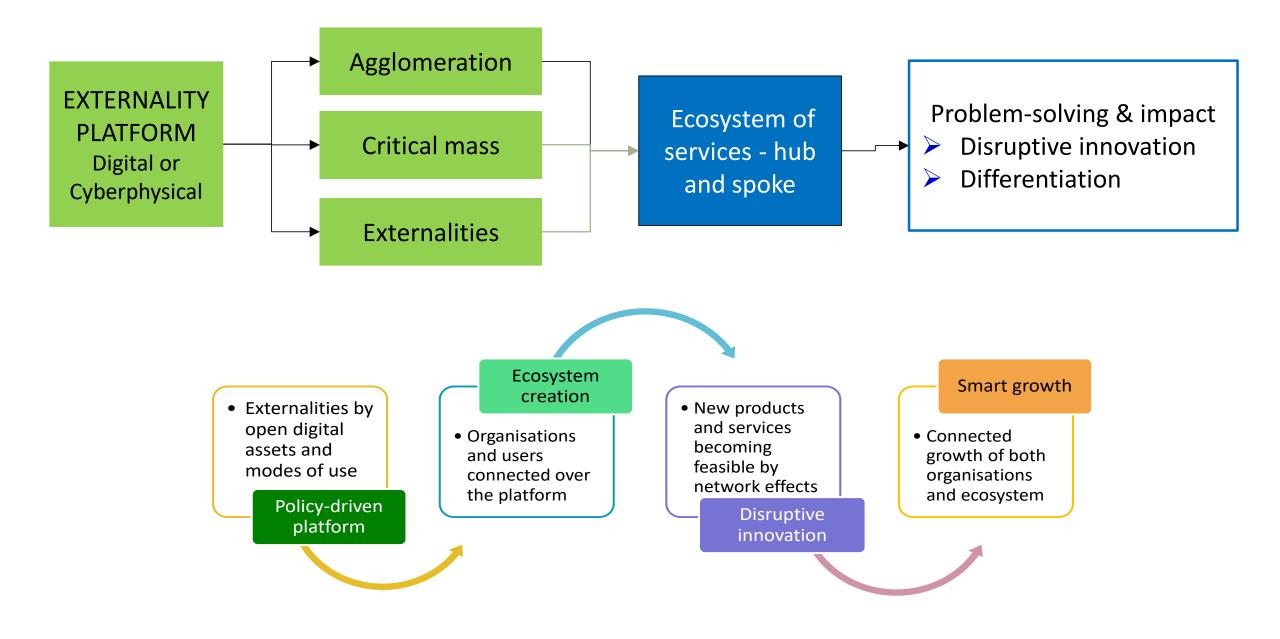
## Online S3 Platform: Support innovation strategy by 28 applications



## A platform- 28 apps: User engagement and data-driven policy

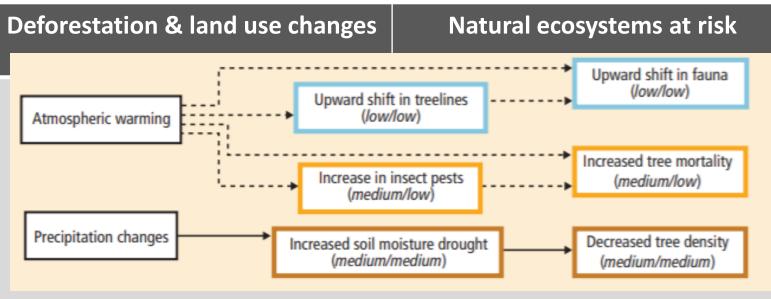


## Externality platforms creating hub-and-spoke ecosystems



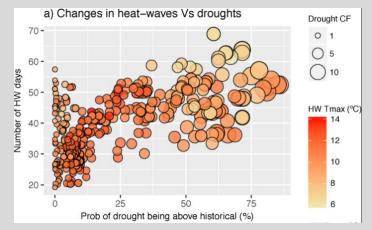
IV. Environment and sustainability: Awareness platforms & eco innovation



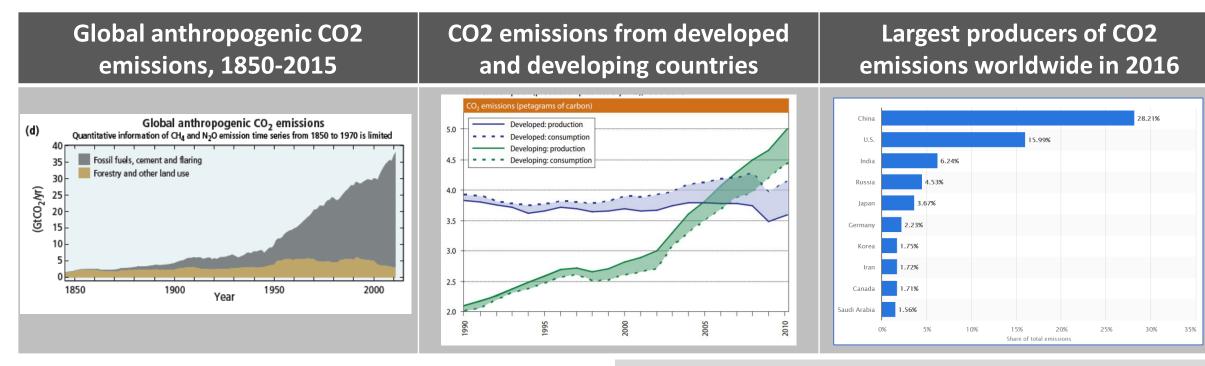


- **Pollution is among the biggest killers**, affecting more than 100 m. worldwide.
- 5000 people die every day as a result of drinking unclean water.
- People who live in high-density air pollution areas, have 20% higher risk of dying from lung cancer.
- Pollution kills more than 1 million seabirds and 100 m. mammals per year.

Due to pollution and climate change many terrestrial, freshwater and marine ecosystems, species shift their geographic ranges, seasonal activities, migration patterns, abundances and species interactions.



Source: Future heat-waves, droughts and floods in 571 Euro cities



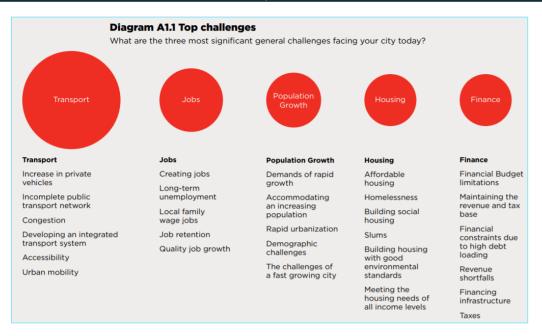
Stabilisation of emissions in developed countries

- global relocation of industry away from developed countries
- **imports of emissions-intensive products** from developing countries, and China in particular.
- rapid turn of the EU and US to renewable energy sources. In 2017, renewable energy, in both regions, accounted for almost 50% of new energy capacity

- **Coastal communities**, small islands and megadeltas are particularly vulnerable
- Agriculture is negatively impacted by extreme weather events such as heatwaves and droughts
- **Oceans** are affected, leading to rising sea levels and acidification.
- Losses in biodiversity are mostly caused by habitat destruction.

## SUSTAINABILITY CHALLENGES 3<sup>rd</sup>: CITIES, WATER, WASTE INFRA

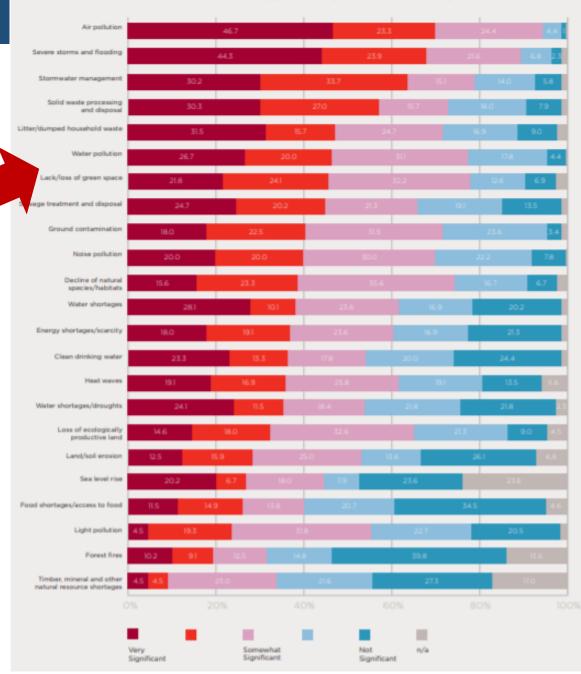
Cities: Top Challenges	Cities: Top environmental challenges
Transport	Air pollution
Job creation & unemployment	Severe storm and flooding
Population growth	Waste processing & disposal
Housing	Water pollution



Source: LSE (2013). Going Green. How cities are leading the next economy.

#### Figure A1.1 Cities' environmental challenges

How significant are the following green challenges for your city and its region?



## PLANNING FOR SUSTAINABLE CITIES since 1990'

Smart growth is a way of developing cities thatTransit-oriented development (TOD) is a mixed-use residential or commercial area intended to maximize access to public transportation.New Urbanism focuses on designing the elements that make an attractive, successful and cohesive neighborhoodprotects open areas, natural resources and agricultural land, -revitalizes existing communities, -promotes compact growth with a focus on mixing uses and facilitating transport as well as pedestrian traffic,ToD neighborhoods consist of a center with a public transit station, surrounded by high-density development and lower-density development gradually spreading outward from the center.These principles are: > Sufficient residential density > Urban development with mix of uses > Dense road network- mix land uses, variety of homes both in terms of typology and affordabilitySource: Holmes, J., & van Hemert, J. (2008). Transit oriented development. The Rocky Mountain Land Use Institute.Source: Holmes, J., & van Hemert, J. (2008). Transit oriented development. The Rocky Mountain Land Use Institute.

## LEADERSHIP FOR ENVIRONMENTAL AND ENERGY DESIGN LEED FOR NEIGHBORHOOD DEVELOPMENT – DESIGN PRINCIPLES (LEED-ND v4 / 2014)

	D			Search the site	Q	Maii	n credit categories
Why LEED Rating s		Project Tools Crede	ntials		CREDIT LIBRARY	8	Sustainable sites credits encourage strategies that minimize the impact on ecosystems and water resources.
Overview		EXISTING		CORE			Water efficiency credits promote smarter use of water, inside and out, to reduce potable water consumption.
New Construction Existing Buildings	CONSTRUCTION EMAJOR RENOVATIONS	BUILDINGS OPERATIONS & MAINTENANCE	COMMERCIAL INTERIORS	DEVELOPMENT	RETAIL		Energy & atmosphere credits promote better building energy performance through innovative strategies.
Core & Shell							interactive strategies.
Commercial Interiors	SCHOOLS	HOMES	NEIGHBORHOOD DEVELOPMENT	HEALTHCARE			Materials & resources credits encourage using sustainable building materials and
Retail	SCHOOLS	HOMES	DEVELOPMENT	TEALTINATE			reducing waste.
Homes +						6	Indoor environmental quality credits promote better indoor air quality and access
Neighborhoods		- Curstana		_			to daylight and views.
Schools	LEED Rating	y systems		Se	arch projects Q		
Healthcare	want to achieve	are groups of requ LEED certification. que needs of a pro	Each group is gea	ared LI	EED Facts Existing Buildings		EED 2009 has placed a relatively greater phasis on "the reduction of <b>energy</b>

Started in 1998, LEED standards have been applied to more than 7000 projects in the United States and 30 countries worldwide.

The pilot version, LEED NCv1.0, led to LEED NCv2.0, then LEED NCv2.2 in 2005, v3 in 2009, and V4 in 2014 the embodied energy of water, materials and solid waste."
LEED 2014 is simplified with a concern for implementation outside the US

associated with building systems, transportation,

consumption and greenhouse gas emissions

## LEADERSHIP FOR ENVIRONMENTAL AND ENERGY DESIGN

#### LEED FOR NEIGHBORHOOD DEVELOPMENT: 61 DESIGN PRINCIPLES FOR SUSTAINABILITY & SCORES

THE 7 IND



LEED 2009 for Neighborhood Development Project Scorecard

#### Project Name:

Smart Location and Linkage       ZP Parts Pass bis         Press 1       Smart Location       Press 1       Smart Location         Press 1       mart Location       Press 1       Certified Green Building         Press 2       Minimum Building Streets       Press 1       Certified Green Building         Press 4       Agricultural Land Conservation       Press 1       Certified Green Building         Press 4       Agricultural Land Conservation       Press 1       Certified Green Building         Press 5       Floodplain Avoidance       Press 1       Certified Green Building         Cont 2       Building Water Efficiency       Building Water Efficiency         Cont 2       Building Water Efficiency       Certified Green Building         Cont 3       Locations with Reduced Adromobile Dependence       7         Cont 4       Bayole Matwork and Mater Body Conservation       1         Cont 6       Step Step Protection       1         Cont 8       Press 1       All Part Press 1       Step Step Frontain and Mater Body Conservation         Cont 8       Respress 1       All Part Press 1       Step Step Step Frontain and Water Bodies       1         Cont 8       Respress 1       All Part Press 1       Step Step Step Step Step Step Step Step				1968 F 1912		
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Certified: 40-49 points, Silver: 50-59 points, Gold: 60-79 points, Platinum: 80+ points

29 Points Possible

20

Required Required Required Required

6 Points

4 Points

110 Points

9 p

2

## LEED-ND 1. LOCATION AND LINKAGE



#### Infill and adjacent sites



- Redevelopment of existing cities, suburbs and towns
- Limiting the expansion of the development footprint

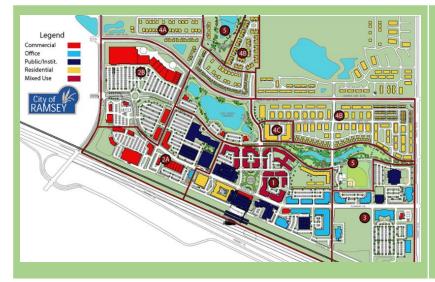
#### Access to quality transit

- Encourage development in locations with multimodal transportation
- Reduced motor vehicle use, low greenhouse gas emissions, air pollution, and other environmental and public health effects associated with motor vehicle use.

#### Wetland and water body conservation

- Preserve water quality, natural • hydrology and biodiversity through conservation of wetlands and water bodies.
- Limit development effects on ٠ wetlands, water bodies, and surrounding buffer land.

## LEED ND-2: NEIGHBORHOOD DESIGN



#### Mixed uses neighborhood

- Cluster diverse land uses in accessible neighborhood and regional centers
- Encourage daily walking, biking, and transit use, reduce vehicle miles traveled (VMT)
- Reduce automobile dependence, and support car-free living



#### **Compact development**

High density development

- Residential, 12 or more dwelling units per acre or 7 DpA for components outside walk distances
- Nonresidential, 0.80 floor-area ratio (FAR), or 0.50 FAR or greater for components outside the walk distances

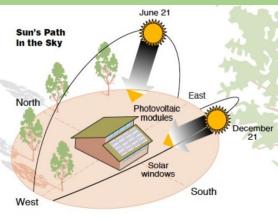


#### Walkable streets

- Promote walking: safe, appealing, and comfortable streets that support public health,
- Reduce pedestrian injuries and encouraging daily physical activity
- Access to civic and public space

## LEED ND-3: GREEN INFRASTRUCTURE AND BUILDINGS









#### Heat island reduction

#### Use non-roof site paving with plant material, shade with architectural devices, and solar reflectance paving

• High-Reflectance and Vegetated Roofs

#### **Building solar orientation**

- Orient the building blocks such that one axis is within ±15 degrees of geographical east-west, and
- The east-west lengths of those blocks are at least as long as the northsouth

#### Renewable energy

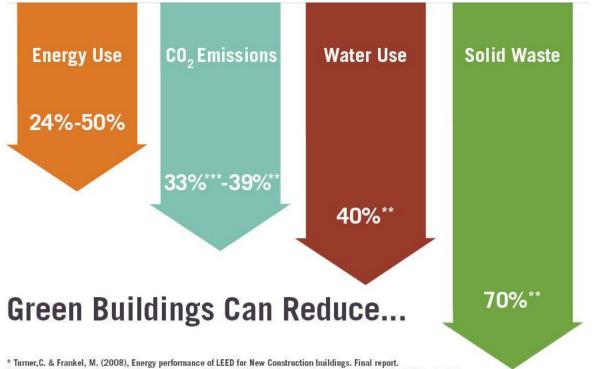
- Local production of renewable energy (solar, wind, geothermal, smallscale or microhydroelectric, or biomass)
- At least 5% of the district's energy spending

#### Water management

- Reduce indoor and outdoor use of water.
- Retain on-site at least 25% of the average annual wastewater generated
- Reuse to replace potable water.

#### LEED – ND EXPECTED IMPACT

#### **Expected** impact



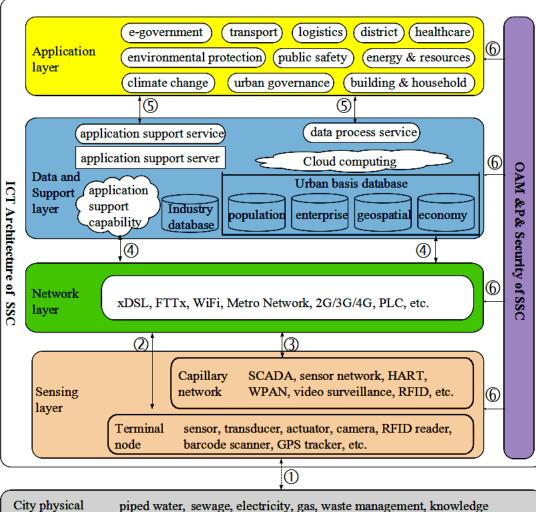
\*\* Kats, G. (2003). The Costs and Financial Benefits of Green Building. A Report to California's Sustainable Building Task Force. \*\*\* GSA Public Buildings Service (2008). Assessing green building performance. A post occupancy evaluation of 12 GSA buildings. Ewing et al. (2013) predicted that **miles traveled per person** (VMT) in LEED®ND areas would be reduced by 24% - 60 % from their respective regional average.

But also critical: Fraker (2013) tested the LEED®ND rating system criteria using performance data from four neighborhoods in Germany (Vauban in Freiburg and Kronsberg) and Sweden (B001 in Malmo and Hammarby Sjostad in Stockholm). The majority of the points in the rating system were awarded to items that do not reduce CO2 emissions in a significant way.

Source: Szibbo, N. A. (2016). Assessing Neighborhood Livability: Evidence from LEED<sup>®</sup> for Neighborhood Development and New Urbanist Communities. *Articulo-Journal of Urban Research*, (14).

## SMART CITY SOLUTIONS DIGITAL SPACES OPTIMISING CITY ACTIVITIES AND INFRASTRUCTURES

#### **DIGITAL URBAN SPACE**



infrastructure, health infrastructure, transport, road, building, etc.





#### Innovation economy

- City sectors / clusters / districts: manufacturing, commerce, business services, education, health, tourism, and other
- Marketplaces, shared platforms
- Crowdfunding, crowdsourcing platforms
- Research and innovation platforms, innovation hubs

#### Living in the city

- Housing
- Health and social care
- Safety and security
- Environment
- Recreation and sports



#### **City infrastructure – Utilities**

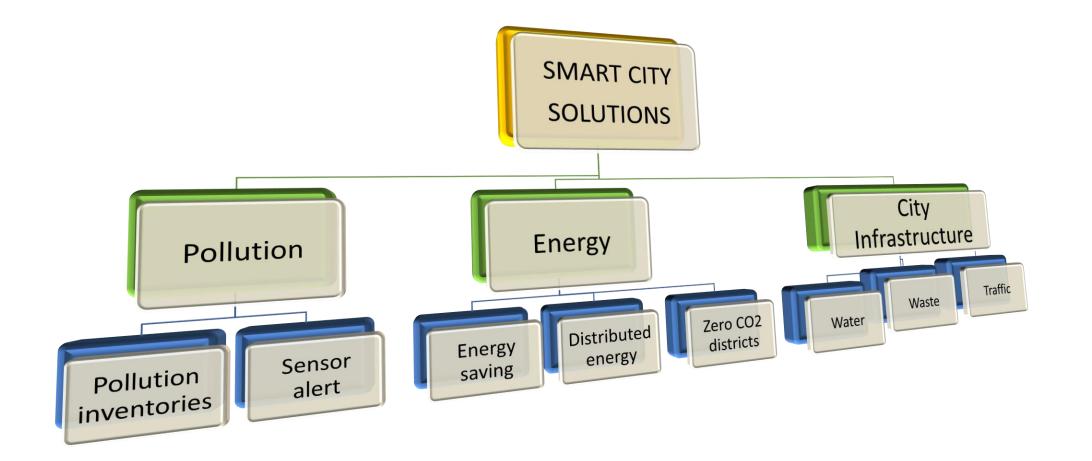
Mobility, transport and parking Energy saving, smart grid, and renewable energy Water management and saving Waste management and recycling Broadband, wired and wireless

#### City governance

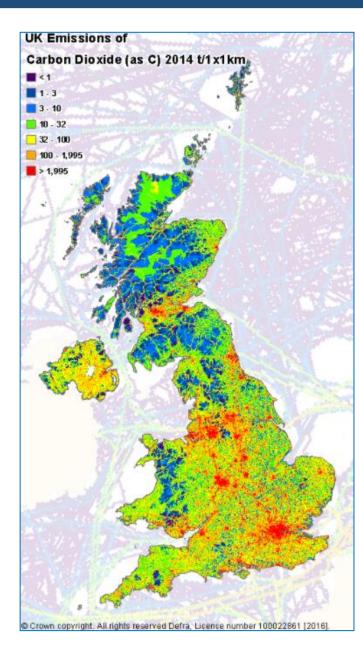
- Decision making / citizen participation / democracy
- Government services to citizens
- City planning / city management
- Monitoring and benchmarking

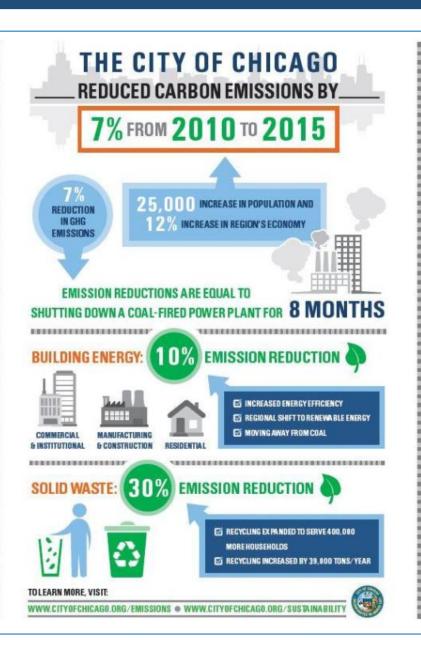
#### Source: ITU

infrastructure



#### POLLUTION: INVENTORIES & AWARENESS





A strategy for emissions reduction starts by mapping emissions and creating emissions inventories.

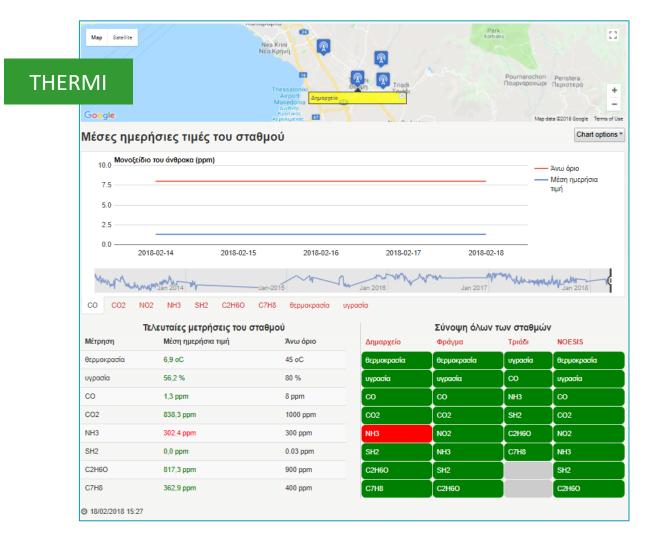
Inventories measure air pollutants into the atmosphere from various stationary and mobile sources,

- transport sector,
- electricity generation,
- industrial and manufacturing
- domestic fuel use for heating, cooling and cooking.

The establishment of emissions inventory helps a **city identify sources of emissions, target specific sources in reduction strategies,** and create a baseline to measure progress.

#### POLLUTION: SENSOR ALERT & BEHAVIOUR CHANGE

Sensors can capture and monitor a series of pollutants, then visualize and transfer this information to citizens, asking to adapt their behaviour to conditions and sources of pollution.





spaces available, to the size of crowds on

#### SMART SANTANDER

In Santander, Spain, algorithms has been used for modelling with monitored learning (prediction, classification) and draw conclusions about the behaviour of pollution variables. The prediction analysis has been focused on city's centre, with 1hour, 2-hour, 4-hour, 8-hour and 24-hour forecast horizons. The models have been trained by machine learning algorithms such as M5P, IBk, Multilayer Perceptron, linear regression, Regression by Discretization, RepTree, Bagging with RepTree, etc. Source: https://data.sngular.team/en/art/6/casestudy-in-smart-cities-modeling-air-pollution-in-thecity-of-santander-spain

Energy saving solutions are based on awareness of (1) energy spending, (2) practices for energy saving.

- In house: In a number of households in a city district users can monitor the energy usage in the household. Displays inform on usage per appliance and the overall energy usage 24/360.
- <u>At district level</u>: Energy Atlas inform about the use of energy at district level. Citizens become aware of the usage and the potential gains (how much energy does my neighbourhood use; how much heat is produced), opportunities for solar or wind energy, and possibilities of matching demand with (renewable) energy supply.
- Sharing information among users may inform practices for energy saving.

Expected saving at 15-20% of the baseline energy usage.



## **Energy Atlas**

A tool that stimulates the use of renewable energy

### ENERGY: DISTRIBUTED SYSTEMS, SHARING RENEWABLE EN

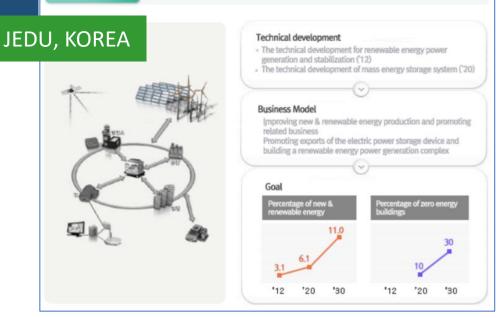
Goal

It aims to build a smart renewable energy power generation complex across the nation by rolling out micro grids. This will ultimately lead to the emergence of houses, buildings, and villages which can achieve energy self-sufficiency through the deployment of small-scale renewable energy generation units in every end-user premise.



#### **Distributed local energy systems**

- Multiple inputs and users, supporting two-way energy flows
- **Digitalization** of the electric-mechanical infrastructure: smart grid and behind the metering an energy management system
- Flexible, dynamic, and resilient energy management
- Complex market, transactions, business models (P2P Singapore)
- Regulation changing rapidly around renewables, distributed generation (solar, microgrid, storage), net metering





## Amsterdamse Zoncoalitie

We aim at establishing 1.000.000 solar panels in Amsterdam

## NET ZERO ENERGY DISTRICTS

**Smart Zero CO2** is a city that have zero carbon emissions on an annual basis. "All the energy that is consumed directly or indirectly will be replaced by renewable energy consumption/local energy production and all the emission that is created by the city's activities will be neutralised by offering carbon-free energy options on the market" (<u>http://smartencity.eu/</u>).

#### Main components of a smart Zero CO2 city

- Use of renewable energy
- Smart grid to manage energy production and consumption, and prioritize different types of RE
- Energy storage of exceed RE
- Local energy sharing to balance production and consumption
- Building retrofitting to reduce energy spending
- Mobility by CO2-neutral public transport, electric vehicles
- Carbon dioxide uptake solutions with nature (impossible to zero all CO2 emissions)





## GridFriends

Sharing renewable energy between households in the Amsterdam building...

#### INFRASTRUCTURE: SENSOR-BASED OPTIMISATION

#### WATER



#### WASTE

Applications related to waste management are focused mainly on tracking container fill levels and thus optimizing pickup routes by dynamic route choice and selection

#### A Sankey diagram of the water system reveals the quantity of water wasted due to **water leakages in pipelines** (17%-20% in most developed cities up to 50%). Pressure sensors may identify the leak point, alert & action





MAGE CAPTURE

fullness data.

container

TILT MONITORIN

Our wide-angle camera sensor captures highresolution photos of the inside of your container

multiple times per day, resulting in content and

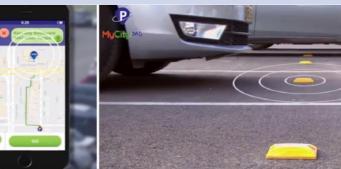
We use GPS to continually track the precise coordinates of every Compology equipped

#### Sensor in the waste bin



# 64 % 31 % Chine Sensor

Solution Components Data Flow In-pavement vehicle Different sensors detect live traffic conditions and send data over network for analysis /ideo camera Analytics identify sudder icense plate read changes in traffic conditions signaling likely traffic incidents Data analytics and Traffic flow patterns are isualization software nonitored to identify ongestion, update traffic ituational awareness picture and alert operator



#### TRAFFIC

Traffic management solutions focus on (1) forecasting traffic congestion in order to provide route optimization advice, (2) inform about available parking and optimize search

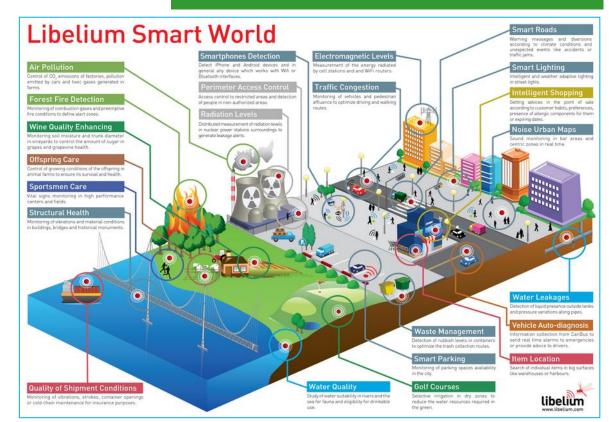
## SENSORS, AWARENESS , AND BEHAVIOUR CHANGE

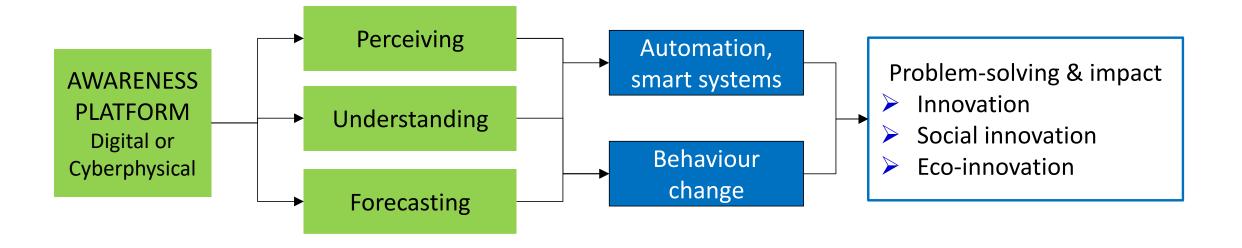
## The road to IoT based sustainability

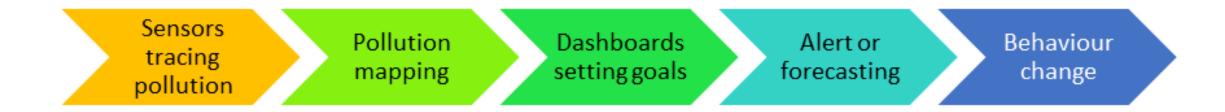
- Sensor network deployment across city districts, neighbourhoods and utilities to collect and distribute information and raise awareness.
- Users become motivated to adopt more sustainable behaviour because of (1) direct gain, (2) long term environmental gain, (3) various reward systems.
- 3. Public authorities follow more sustainable practices to save effort and resources.
- 4. Impact is measured, disseminated, and actions for sustainability are improved.



#### SENSOR NETWORK, SANTANDER



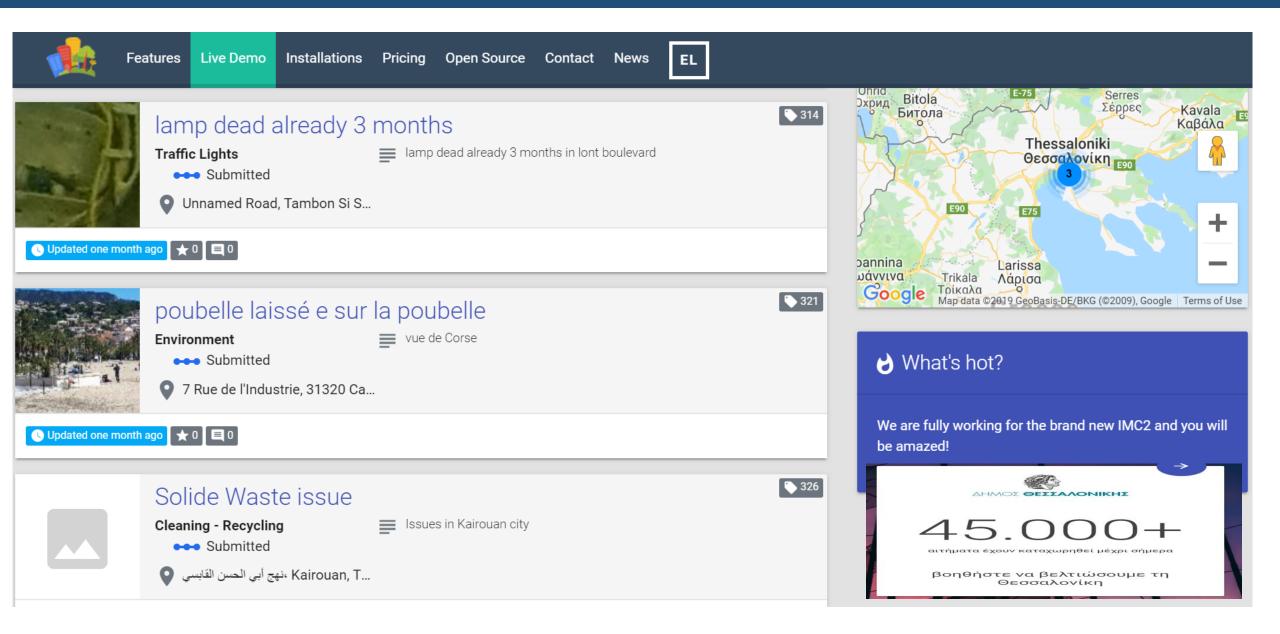




	COMPACT URBAN GROWTH - NEW URBANISM	SMART CITY ENVIRONMENTS
Actions for	Sustainability based on <u>physical elements</u> : location, district design, buildings features	Solutions based on informed and motivated human behavior and decision-making
sustainability	Institutional solutions, hard to transfer from place to another due to different context	<u>Technological solutions</u> , easily transferable from one place to the other
Investments	Large-scale <u>investments across multiple city</u> <u>systems</u> and domains (transportation, housing, employment, nature, buildings)	Investment mainly on public <u>broadband and</u> <u>sensor networks</u> , applications and e-services for <u>awareness</u> , <u>sharing</u> , <u>optimization</u>
mvestments	<u>Top-down</u> , <u>public sector</u> initiatives and planning	Bottom-up, private sector initiatives in infrastructure and e-services
Impact	<u>Uncertain</u> effectiveness as suggested by a number of LEED-ND critiques	<u>More effective</u> , more ambitious targets, measurable impact

V. Safety and security: Engagement platforms & social innovation

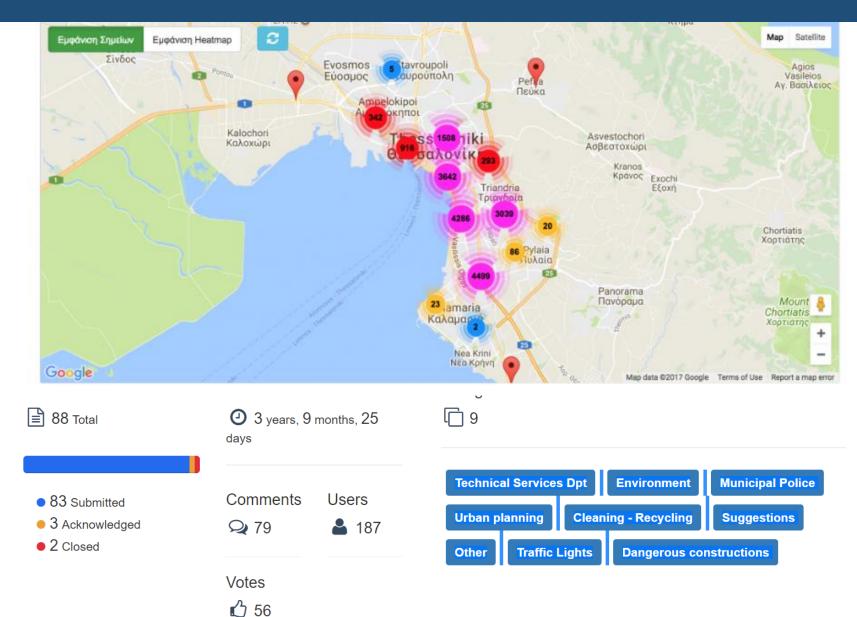
## (1) Improve-my-City: Front end



## Improve-my-City: Administration

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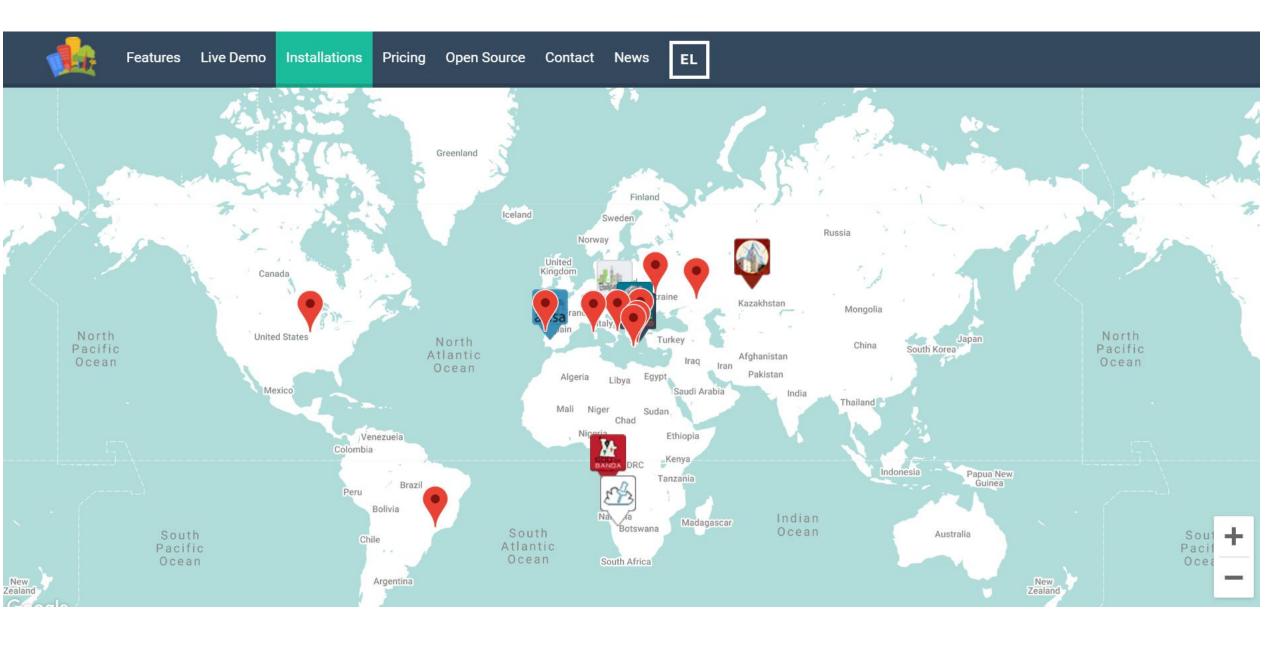
## Improve-my-City: Analytics



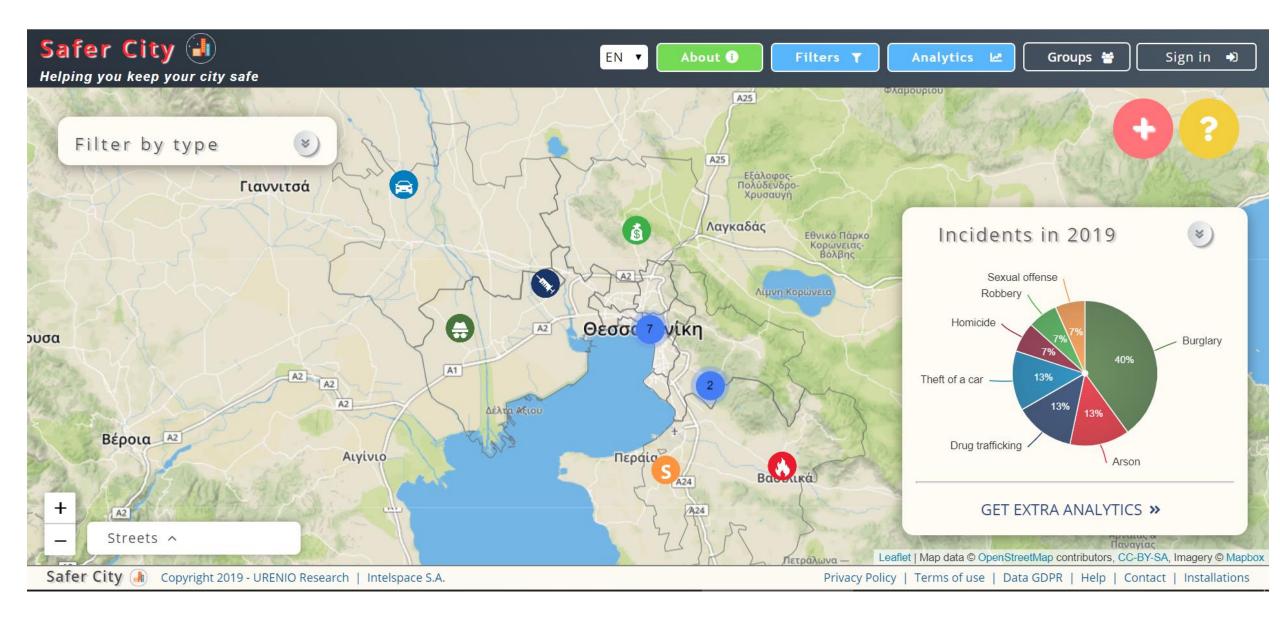


PREPARED BY INFALIA // IMPROVE MY CITY

## The Improve-my-City platform



## (2) Safer city: Awareness and self-protection through collaboration



## (3) Complex solutions integrating digital and non-digital elements

## What is Vision Zero?

Vision Zero is a strategy to eliminate all traffic fatalities and severe injuries, while increasing safe, healthy, equitable mobility for all. First implemented in Sweden in the 1990s, Vision Zero has proved successful across Europe — and now it's gaining momentum in major American cities.

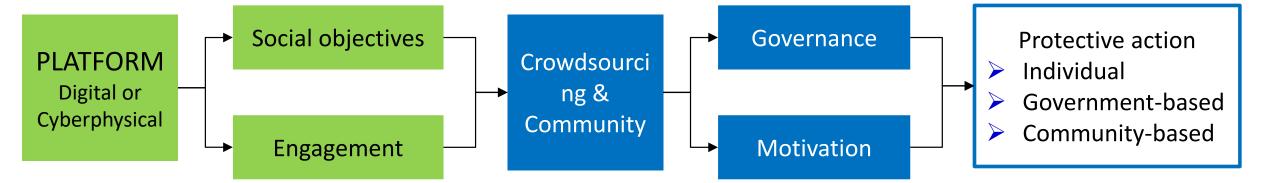


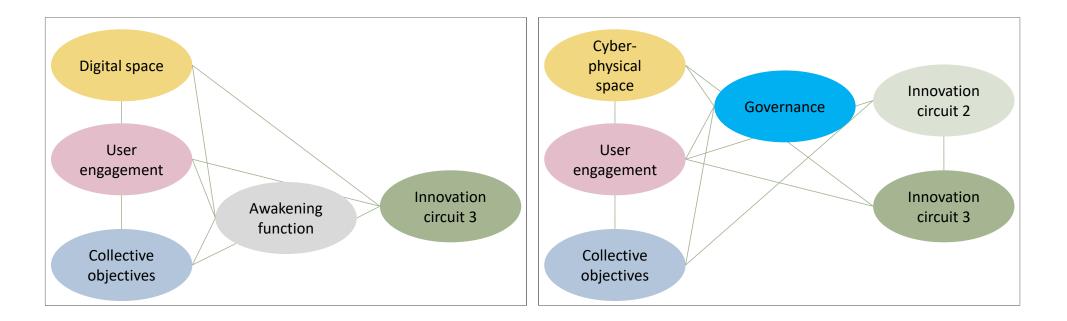
Source: Vision Zero Network.

1. MAPPING	1.1 1.2	Data: Information collection and dataset creation Identification of high-injury network and risk areas
	1.3	Analytics: Fatalities and major injuries per areas and social groups
2. PEOPLE AND USER	2.1	Reporting and witnessing by users
ENGAGEMENT	2.2	Education: Develop a driving culture for Vision Zero
	2.3	Co-design of safety solutions with users
3. CITY DESIGN	3.1	Intersection re-design for visibility and safety
	3.2	Engineering solutions under the principles of VZ and WalkFirst
	3.3	Creation of arterial slow zones
4. INSTITUTIONAL	4.1	Law enforcement
MEASURES	4.2	Law and policy support VZ and reduce speed on city streets
	4.3	Training of officers on safety measures and recording of events
5. DIGITAL SPACES AND	5.1	Web-based information collection and dissemination
TECHNOLOGIES	5.2	Real-time watch and alert and transportation injury surveillance
	5.3	Car-pooling & car sharing for reducing travelled mile per capita
	5.4	Advanced video-based road-safety analytics
6. MONITORING AND	6.1	Definition of output and result indicators
ASSESSMENT	6.2	Dashboards, data recording and periodic reporting
	6.3	Analytics for assessment

https://visionzeronetwork.org/about/what-is-vision-zero/

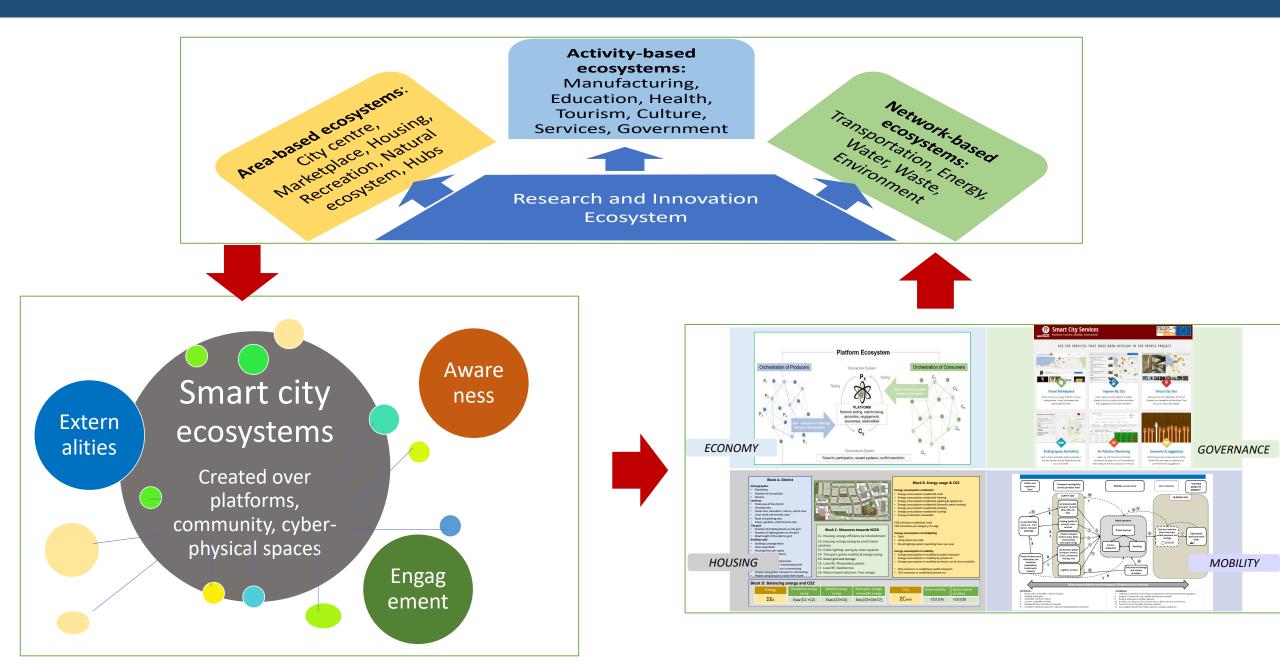
## Engagement platforms and motivated communities





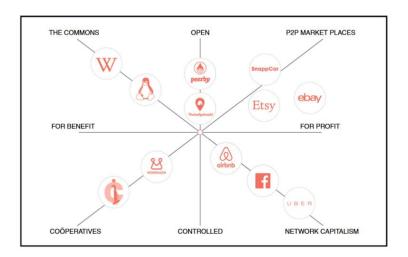
## Take away

## Platforms enable new models for smart city ecosystems



## Platforms create shared, awareness, engagement spaces for innovation

## SHARED SPACES Disruptive Innovation

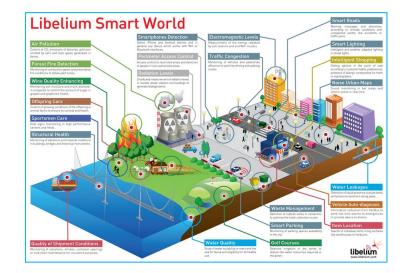


Πηγή: Oskam, J., & Boswijk, A. (2016)

# Sharing economy – Smart growth

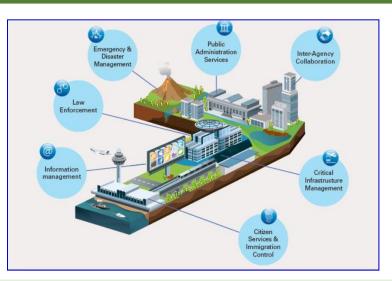
- Business growth platforms
- Business over Business
- P2P production, demand driven

## AWARENESS SPACES Eco Innovation



 Sensor networks, real-time alert
 Behaviour adaptation to external conditions
 Awareness and solutions about the environment, pollution, energy saving, CO2 emissions, climate change

## ENGAGEMENT SPACES Social Innovation



#### Social innovation and citizen non-profit networks

 Mapping and motivation for participation and change
 Real-time safety and security systems in the public space of cities

## generate externalities, behaviour change, collaboration

## **Externalities over platform**

- Digital platforms offer externalities through cocreation of value, opening up opportunities, providing a "lift" to third party practice (Gillespie, 2010)
- A platform is a plug-and-play business model that allows multiple participants (producers and consumers) to connect to it, interact with each other and create and exchange value (Sangeet Paul Choudary)

#### Awareness over platform

- Digital platforms provide awareness by: (a) information collection, mapping, and analytics, (b) info dissemination, raising understanding, (c) learning and transfer of practices, (d) insights for novel solutions, (e) metrics
- Awareness creates protective environments, alert, behaviour change, or mechanisms for direct intervention in case of event or risk

#### **Collaboration over platform**

- Engagement is the commitment made by members of a community to participate in activities that fulfil objectives
- Engagement platforms are crafted through the logic of social innovation. They (a) contribute to creating active communities around topics of concern, and (b) actualise members of the community to accomplish actions for social innovation

## enable measuring impact and added value

## Growth, efficiency, externality KPIs

- Platform Adoption Rate
- Economic growth rate
- Job creation
- Increased investment
- Business growth
- Cost Savings
- Return on Investment (ROI)
- Market share
- Productivity
- Cost per unit
- Time to market

# Sustainability and climate adaptation KPIs

- Platform Adoption Rate
- Air Quality Index
- Energy Efficiency
- Energy intensity
- Renewable energy generation
- Carbon intensity
- CO2 & GHG emissions
- Carbon footprint
- Forest and tree cover
- Net zero buildings
- Net zero districts

## Safety & security KPIs

- Platform Adoption Rate
- Crime Rate
- Response Time
- Road safety
- Employee Safety Incidents
- Severe accidents and deaths
- Public Perception of Safety
- Data Privacy and Security Compliance
- Incident Resolution Time
- Satisfaction Index

https://www.routledge.com/Smart-Cities-and-Connected-Intelligence-Platforms-Ecosystemsand-Network/Komninos/p/book/9780367423056